



Calibration of Historical and Future AVHRR and GOES Visible and Near-Infrared Sensors and the Development of a Consistent Long-Term Cloud and Clear-Sky Radiation Property Dataset

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Overview

■ Goals

- Calibrate AVHRR 0.64, 0.87, and 1.6- μm channels
- Calibrate GOES & SMS imager 0.65- μm channels
- Generate CERES-like cloud climatology from AVHRR record

■ Source Data

- AVHRR 1, 2, & 3: 1978 – present
- SMS-1 & 2; GOES-1 thru present
- SCHIAMACHY spectral data (2004-2009)

■ Deliverables

- Calibrated 0.63 & 0.86- μm radiances (calibration coefficients)
- Cloud temperature, height, optical depth, effective particle size, water path, phase; surface skin temperature, spectral albedo

■ ECVs addressed: cloud properties, radiation budget

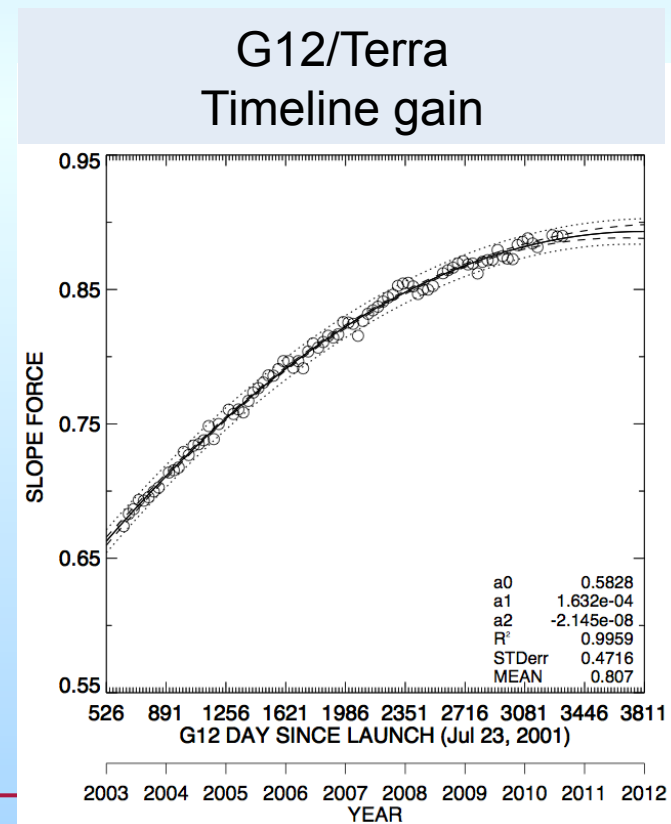
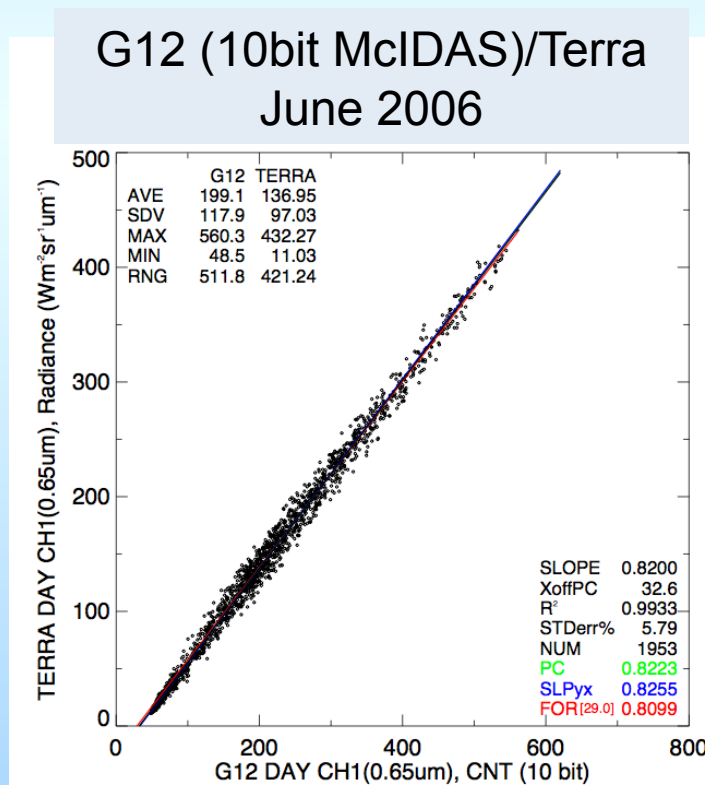
■ Current/expected user communities: GEWEX, GCM community, energy, aviation

Solar Channel Calibration Approach

- Use Aqua-MODIS as the absolute calibration reference
 - Aqua more stable
 - Better characterized
 - Not based on the absolute calibration of Aqua or Terra
- Develop spectral corrections for each satellite using SCIAMACHY
 - Use ratios for cross-calibration (Doelling et al., GSRL, 2011)
- Perform AVHRR DCC and desert calibration
 - NOAA orbits degrade over time, Accuracy limited to SZA < 55°
 - Develop DCC BRDF corrections using VIRS
- Use Geostationary satellites as calibration references
 - Have a set image scheduling, always have data w/ SZA < 55°
- Calibrate each GEO independently
 - 2000-2008 GEOs use MODIS/GEO ray-matching, DCC and deserts
 - 1985-1999 GEOs are based on DCC and desert only, tied to 2000+
- Transfer all simultaneous GEO calibrations to a given AVHRR
 - All GEO calibrations should yield same AVHRR sensor degradation
 - AVHRR DCC-> trend mean of GEO cross-calibrations-> gain
- Compare with ISCCP & other published calibration coefficients

Ray-matching to reference sensor

- Ray-match coincident GEO counts, radiances and MODIS radiances averaged over a 50^2 km ocean grid near the sub-satellite point ($\pm 15^\circ$ lat by $\pm 20^\circ$ lon area)
- Use GEO provided space count offset
- Perform monthly regressions to derive monthly gains
- Compute timeline trends from monthly gains

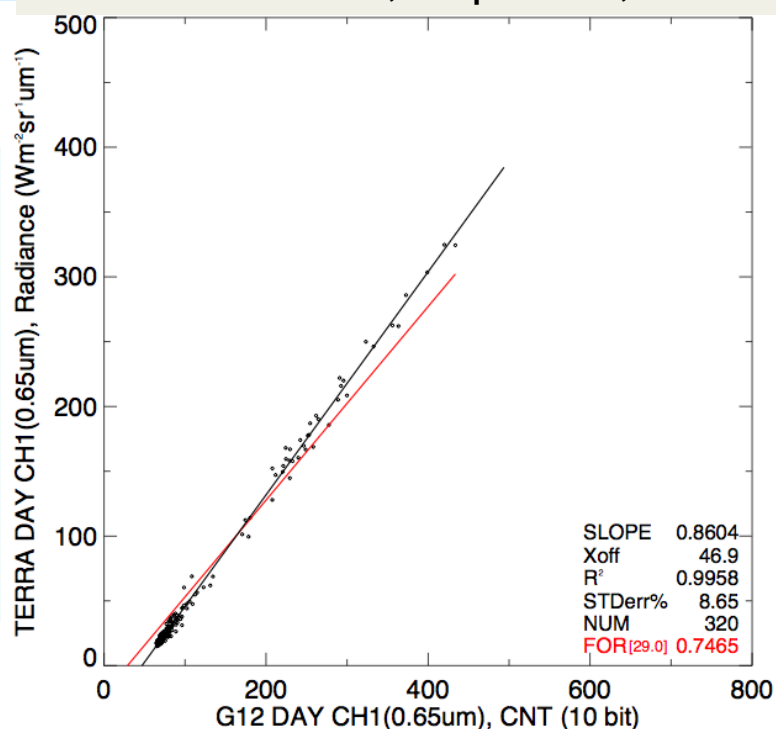


Ray-matching spectral adjustment

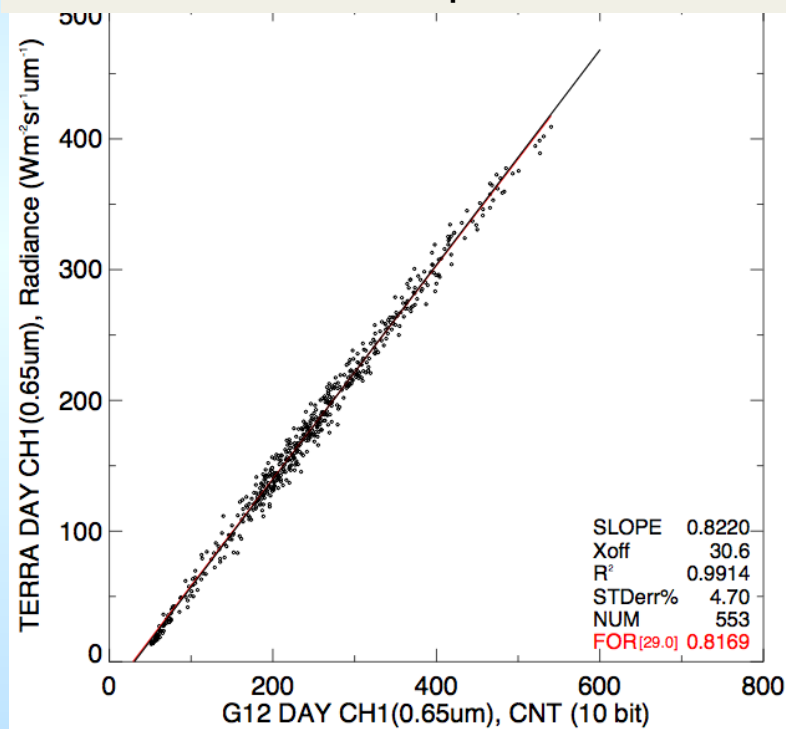
- Use SCIAMACHY-derived pseudo GOES-12 and Aqua-MODIS radiances to adjust GOES-12 radiance as if it had Terra-MODIS spectral response
- Validate by adjusting GOES-12 radiances to Terra-MODIS over ocean and land: **the gains should converge**

No spectral correction

GOES-12/Terra, Sep 2009, Land



GOES-12/Terra, Sep 2009, Ocean

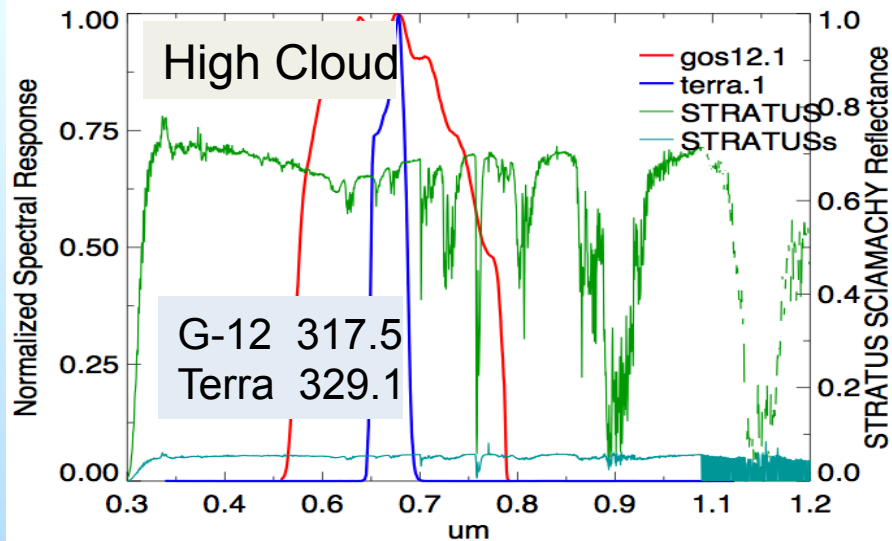
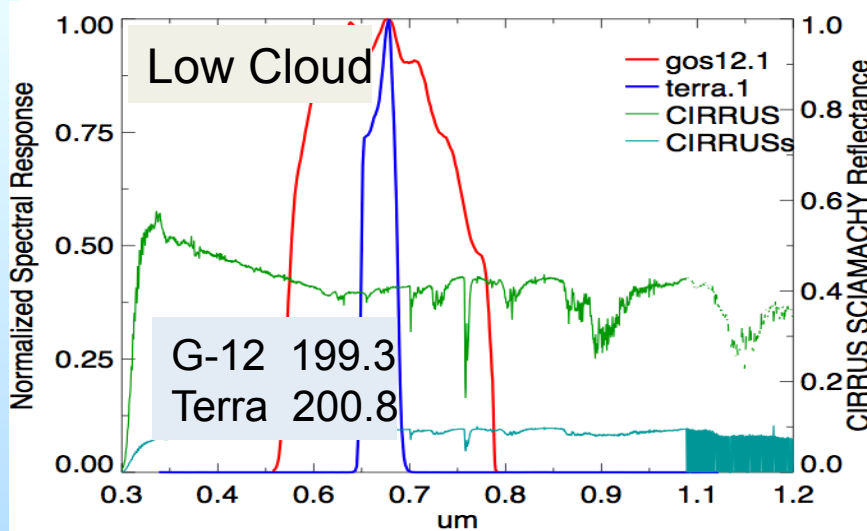
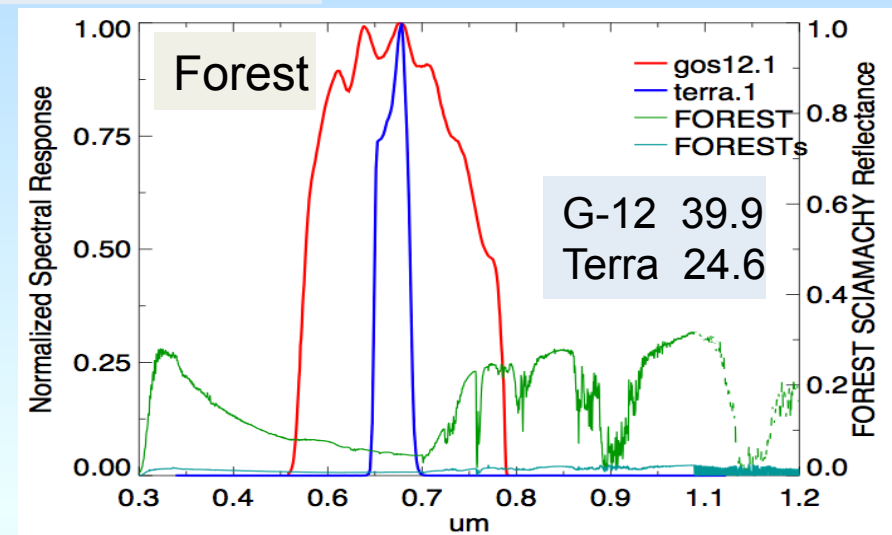
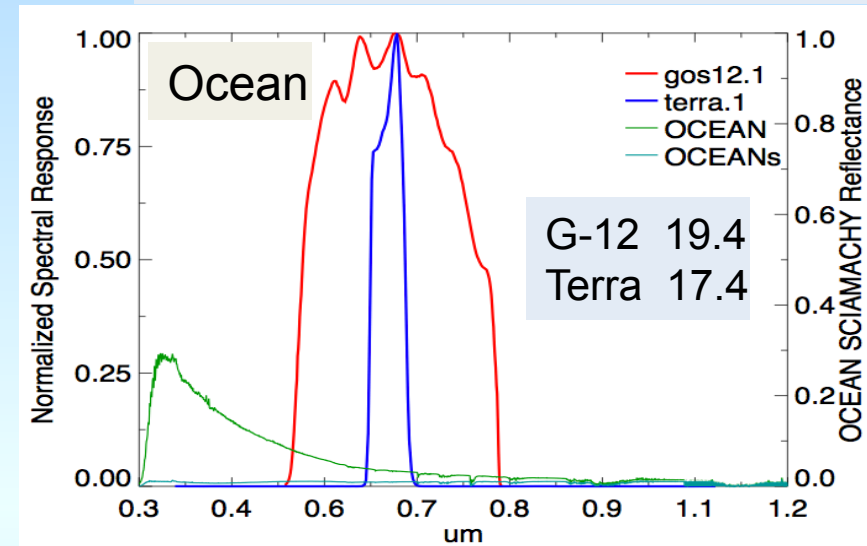


- Gain difference is .8169 for ocean and 0.7465 for land, a 9.4% difference
- Space count is 30.6 for ocean and 46.9 for land, a 16 count difference



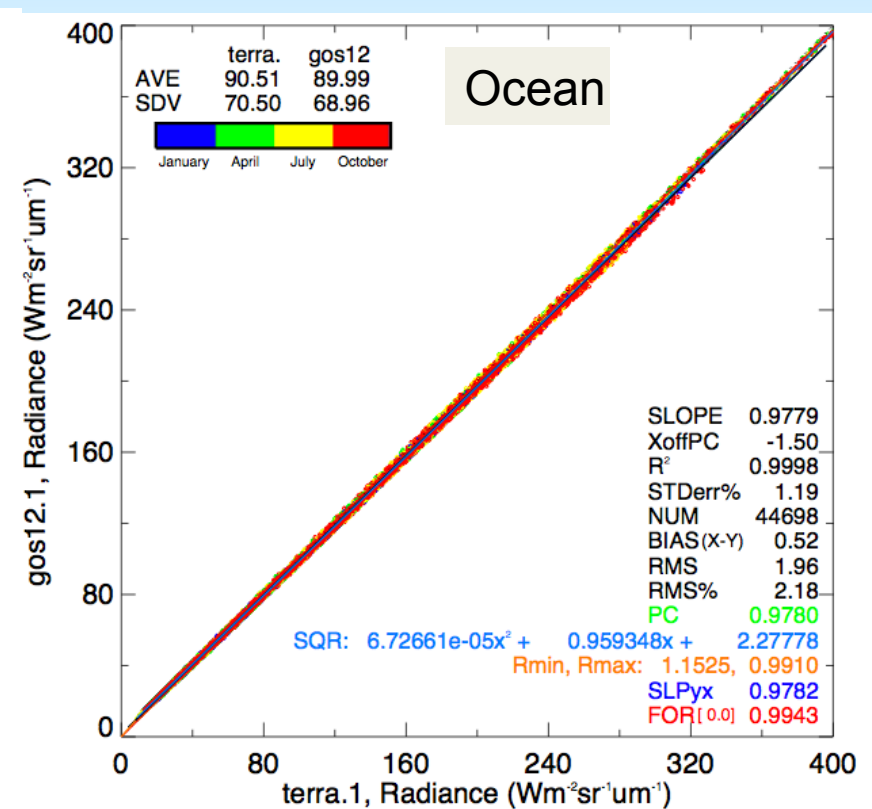
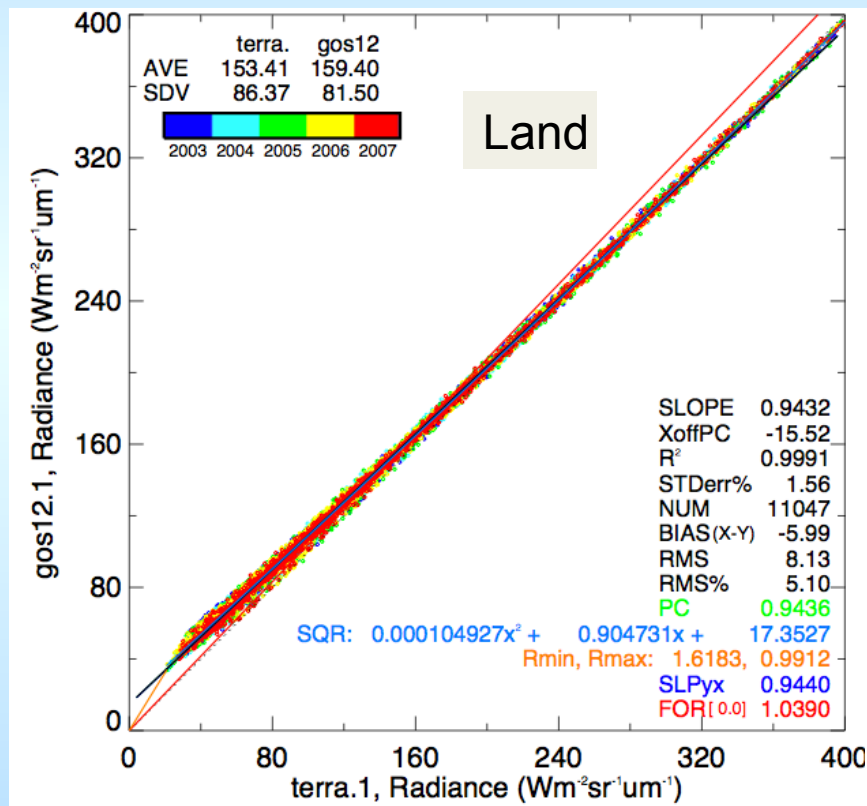
SCIAMACHY reflectances

- SCIAMACHY pseudo radiances in blue boxes



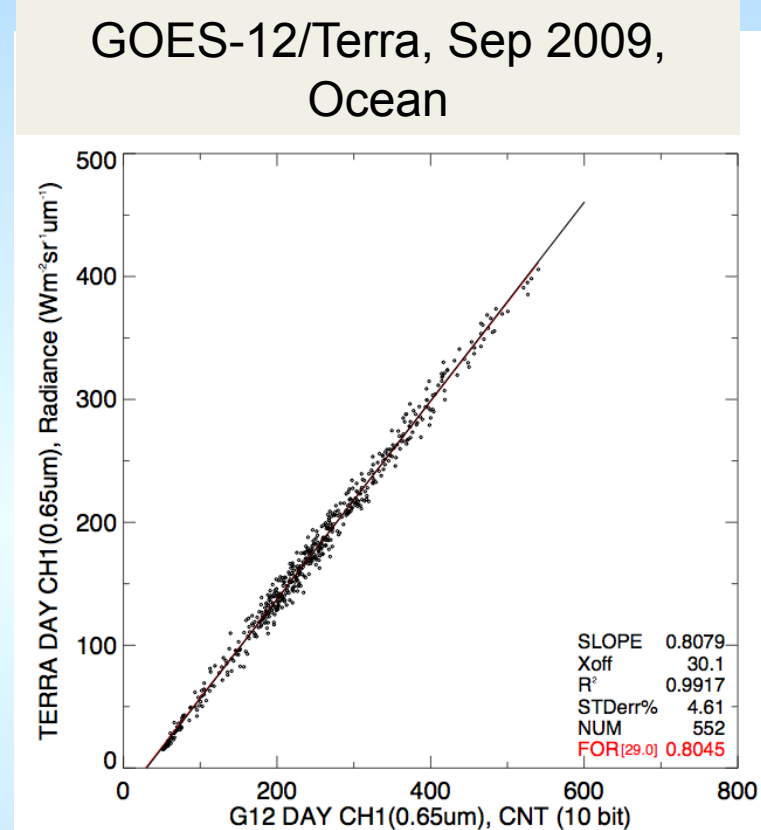
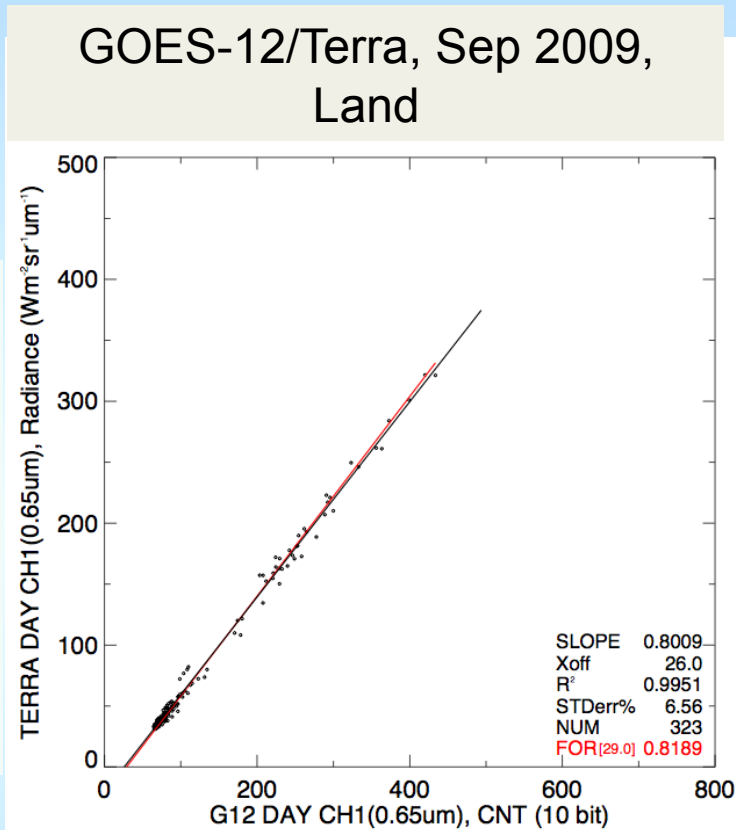
SCIAMACHY Pseudo Radiances

- Use all SCIAMACHY footprint that fall within the GEO/LEO equatorial domain
- Derive spectral correction using cubic fit for land and ocean separately



Ray-matching spectral adjustment

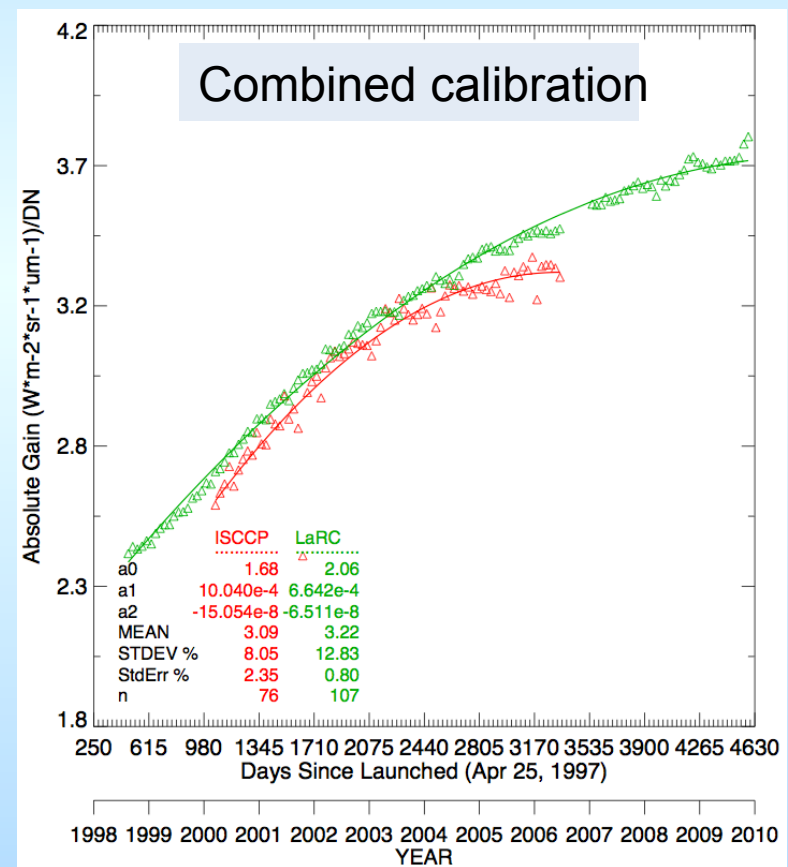
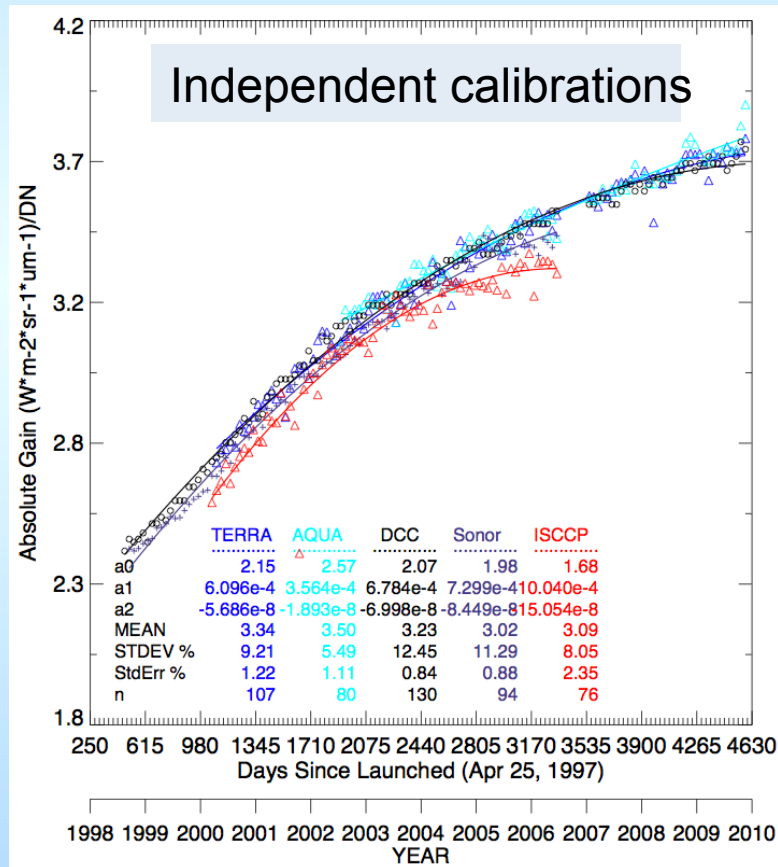
With spectral correction



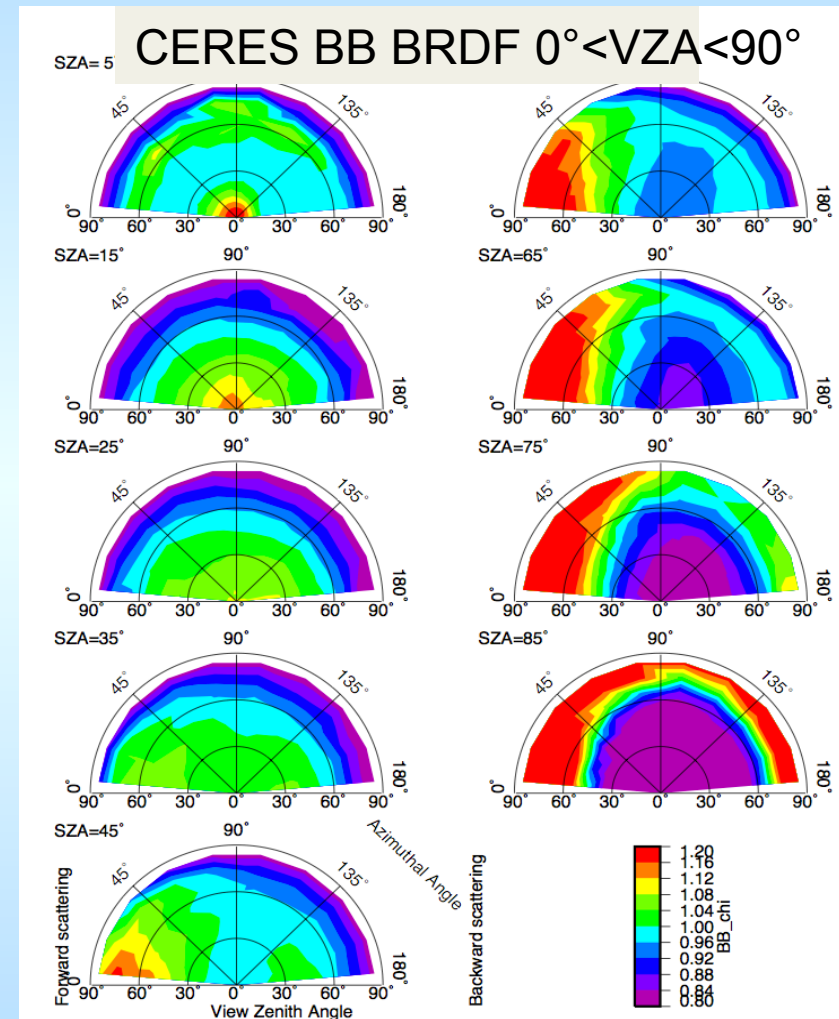
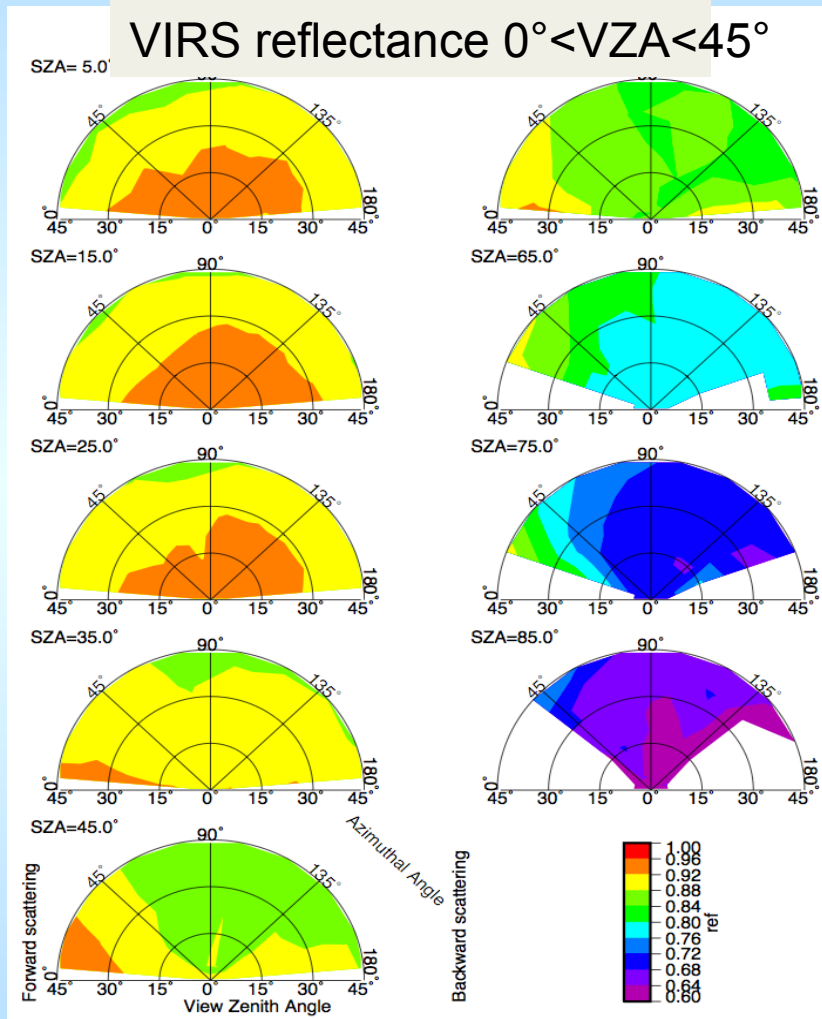
- Gain difference is 0.805 for ocean and 0.819 for land, ~1.7% difference (9.4%)
- Space count is 30.1 for ocean and 26.0 for land, ~ 4 count difference (16)
- Only use ocean geo-type for ray-matching, since spectral correction is minimal

Multi-method GOES-10 calibration

- All methods GOES-12/Terra, GOES-12/Aqua ray-matching, desert and DCC calibration are independent referenced to Aqua-MODIS
- Combine methods by weighting inverse of the standard error of the regression



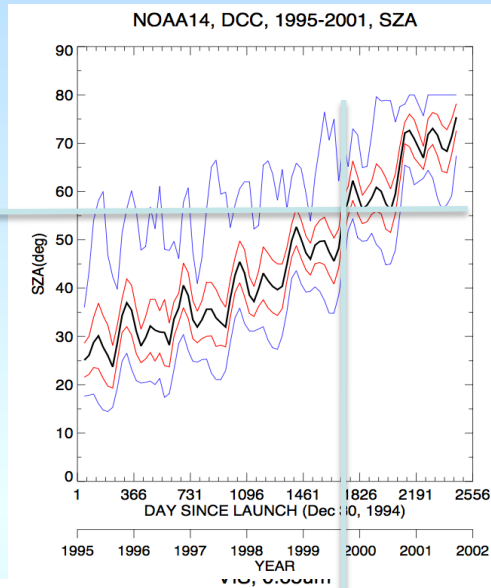
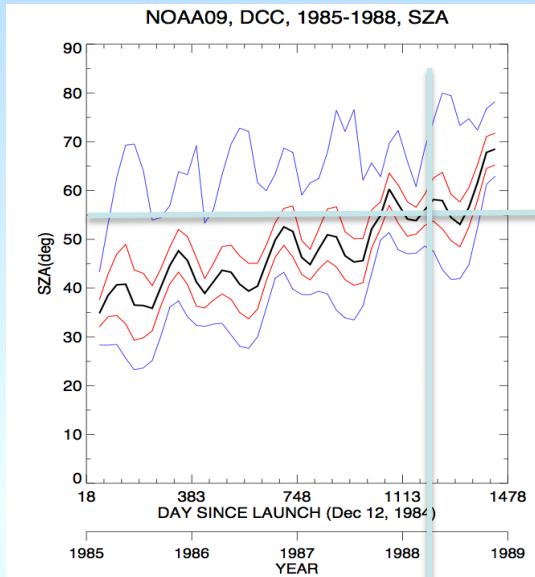
Construct visible DCC ADM models



- Work in progress: Preliminary VIRS models to be tested
- Following results use CERES BDRF

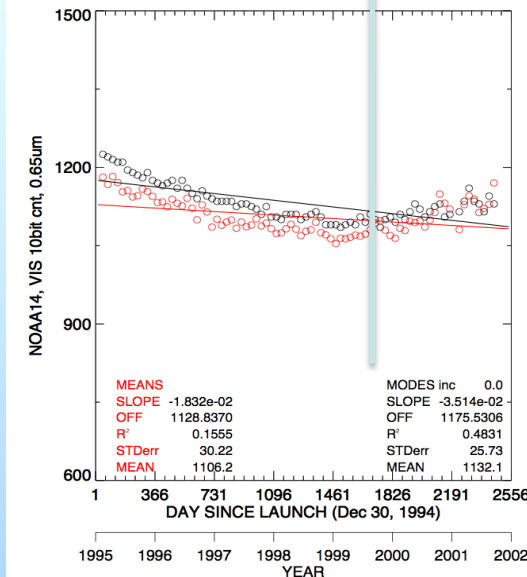
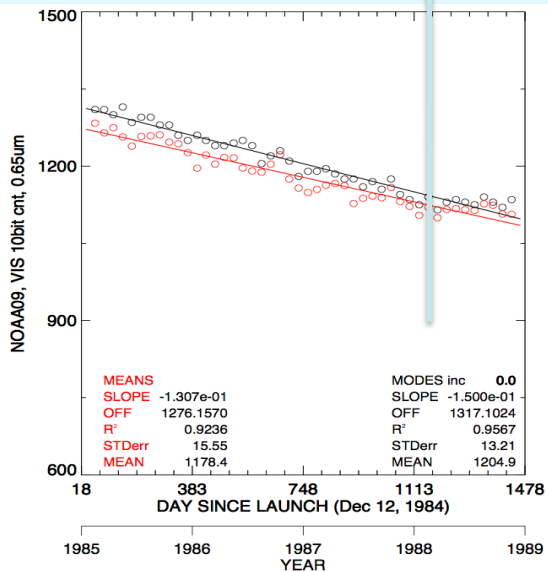


AVHRR DCC calibration



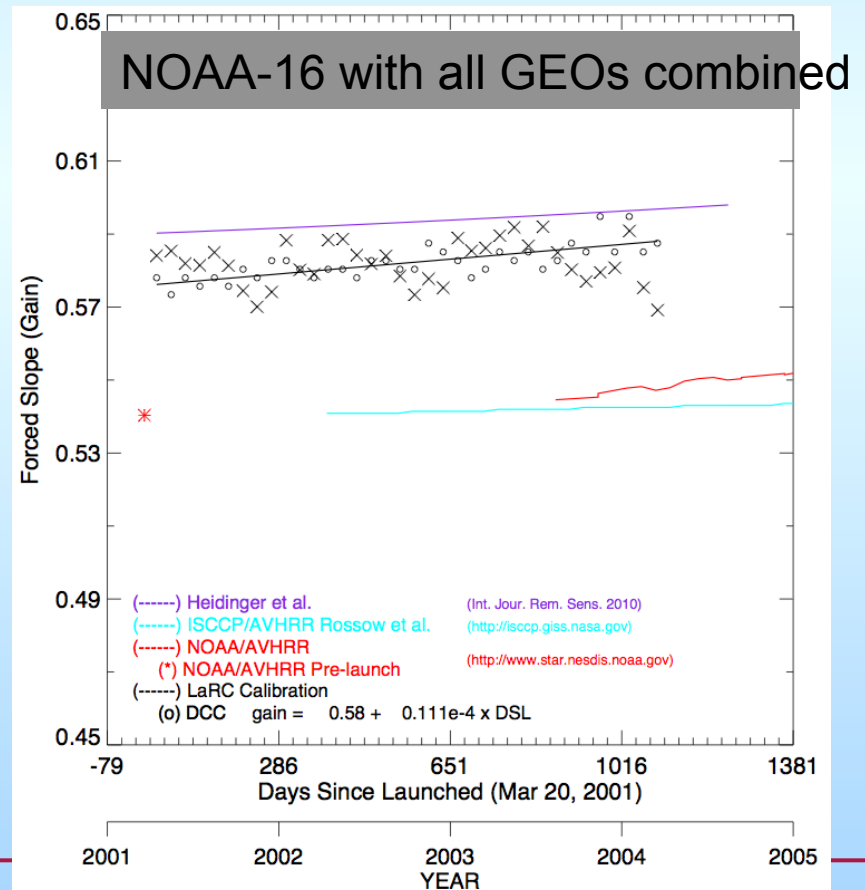
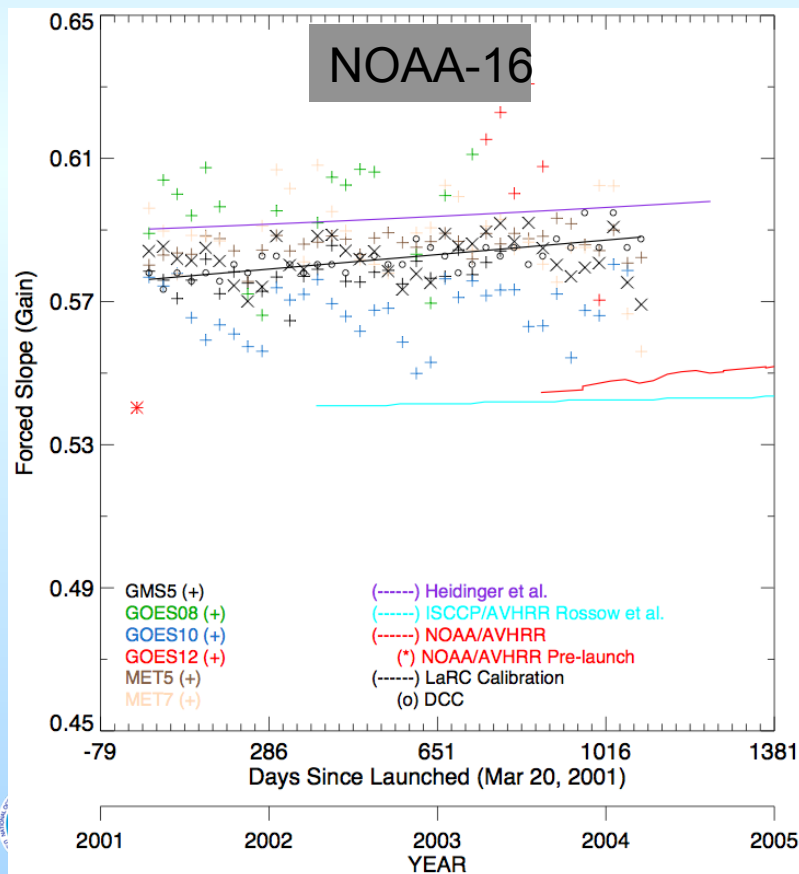
- Currently use a threshold of SZA=55°

- CERES BDRF is broadband and may have more absorption than the visible window channel

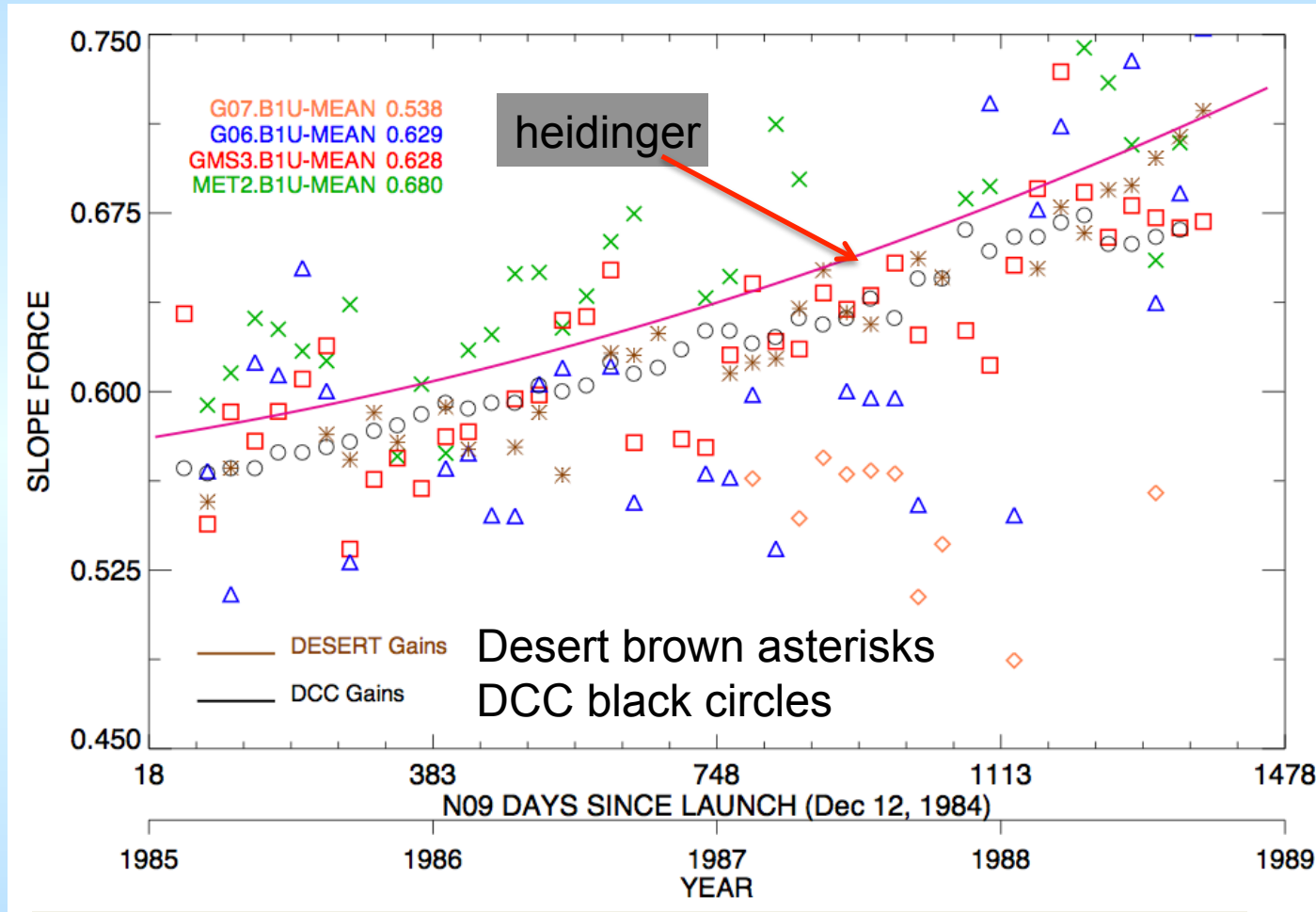


NOAA calibration method

- Use DCC and desert to calibrate NOAA-AVHRR
 - Methods hindered by degradation of NOAA orbits
- Use GEO as independent references
 - Ray-match all simultaneous GEOs with a given NOAA AVHRR
 - Compare to known calibration trends



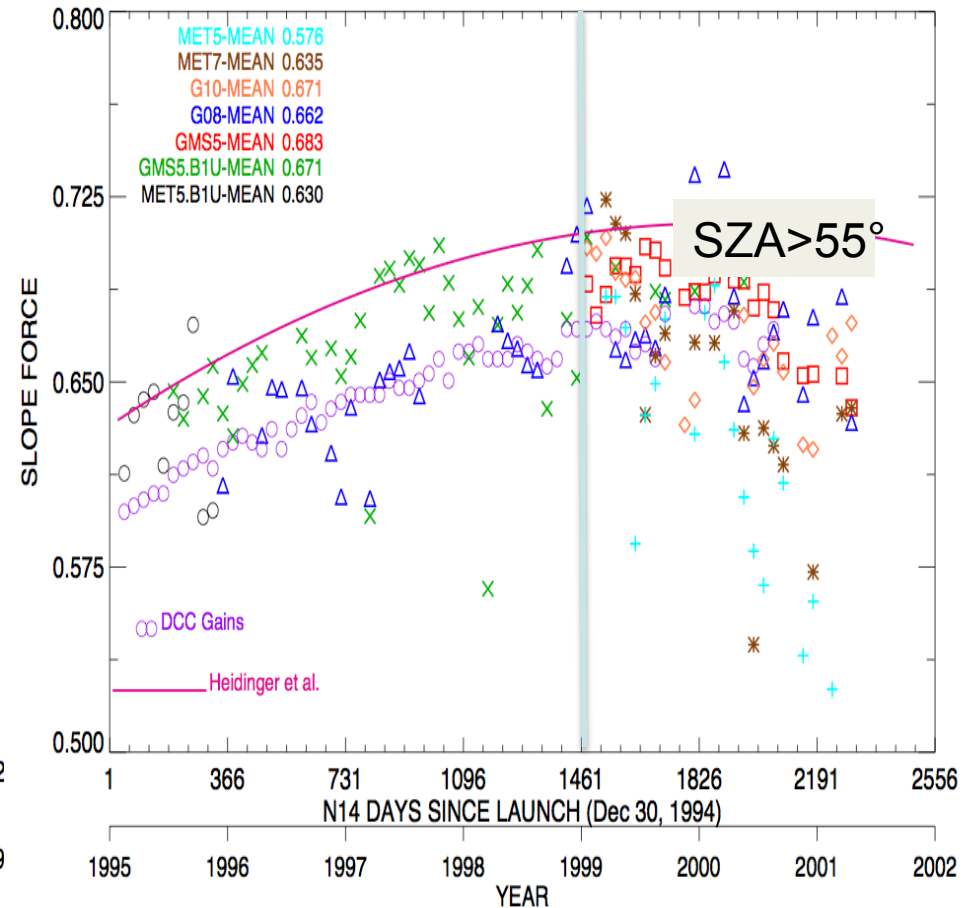
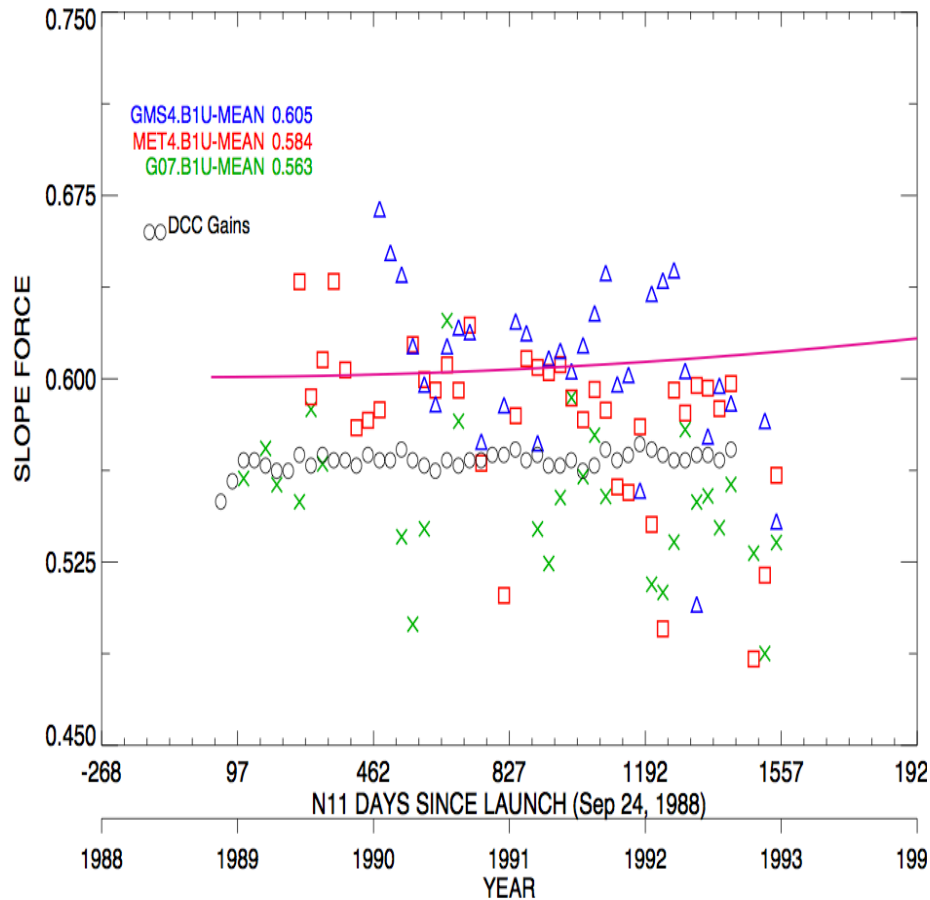
NOAA-9 AVHRR calibration



- Note the consistency between DCC and desert calibration
- Preliminary desert result using VIRS model, DCC to as $SZA < 55^\circ$
- Some GEOs need further investigation

Preliminary N11 and N14 Channel-1 Calibrations

N11 vs MET3.B1U/G07.B1U/MET4.B1U/GMS4.B1U/ With SBAF-Stage 2 OCEAN ONLY N14 vs MET5.B1U/GMS5.B1U/GMS5/G08/G10/MET7/MET5/ With SBAF-Stage 2 OCEAN ONLY



- LaRC ~3% lower than Heidinger in absolute calibration
- Similar temporal trends as Heidinger
- Average of all GEO/AVHRR gains is similar to DCC trends

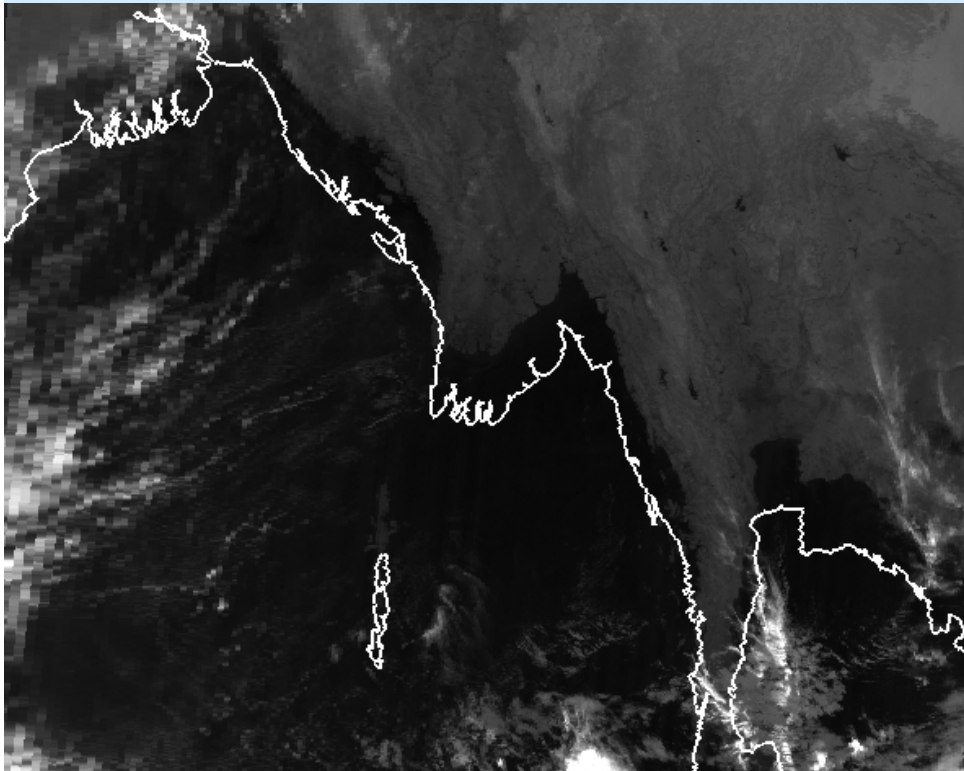
Cloud Analysis Approach

- Calibrate sensors
- Re-navigate all sensors using known locations
- Destripe 3.75- μm channels when necessary
- Adapt CERES Ed4 mask to AVHRR (0.65, 0.86, 3.7, 11, 12 μm , 4 km)
 - Test & tune mask using MODIS (1 km)
 - CERES Ed4 uses AVHRR channels + 1.38, 2.1, 8.5, 13.3 μm
 - Apply to NOAA-18, compare with Aqua MODIS & CALIPSO
 - Make changes as necessary, 1-hr time difference between A-Train & N18
 - Apply to AVHRR back to NOAA-5 (1978-2010)
- Adapt CERES Ed4 Cloud Property Retrieval System to AVHRR
 - Adapt algorithm to limited AVHRR channels
 - Test & refine using MODIS and retest using NOAA-18
 - Test all months
 - Apply to AVHRR back to NOAA-5 (1978-2010)

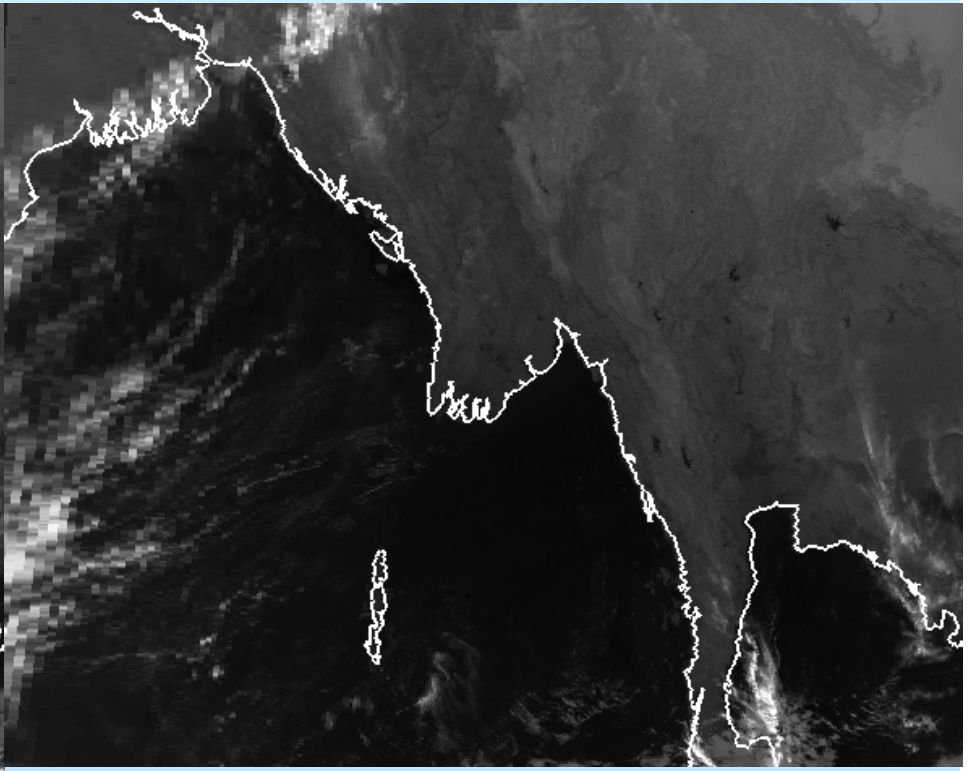
Re-Navigation Example

NOAA-9 1 Dec 1986, 19:50 UTC

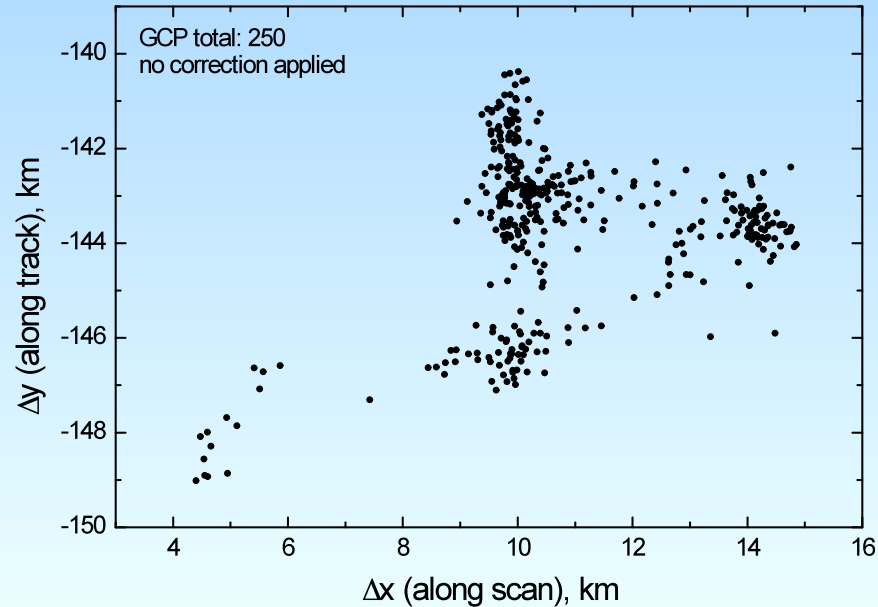
Before



After

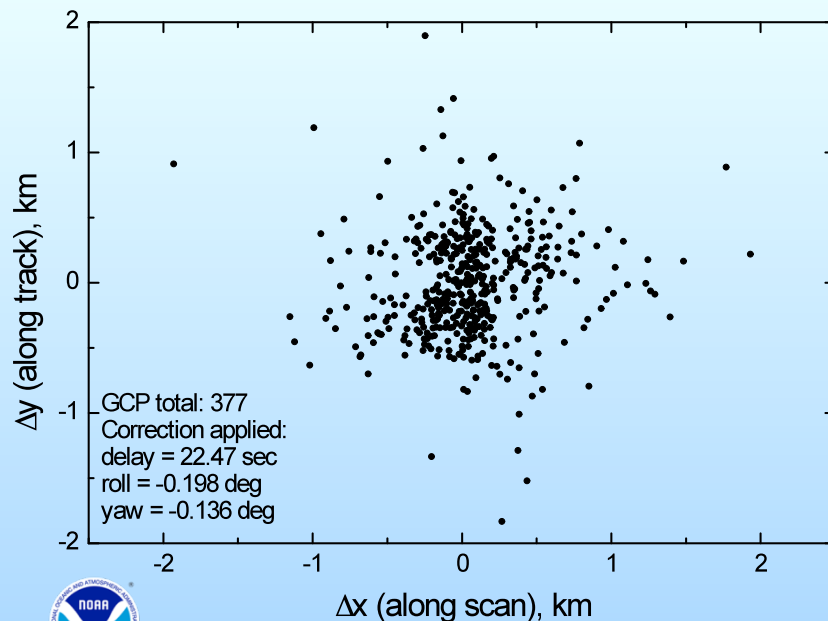


Navigation Correction



AVHRR Channel 2 GAC image displacement relative to a reference MODIS cloud-free composite image. Displacements were calculated by means of image matching at 250 pre-selected cloud-free ground control points. Different groups of points correspond to different cloud-free areas of the AVHRR granule.

NOAA-9 1986-DEC-01, 19:50 UTC.

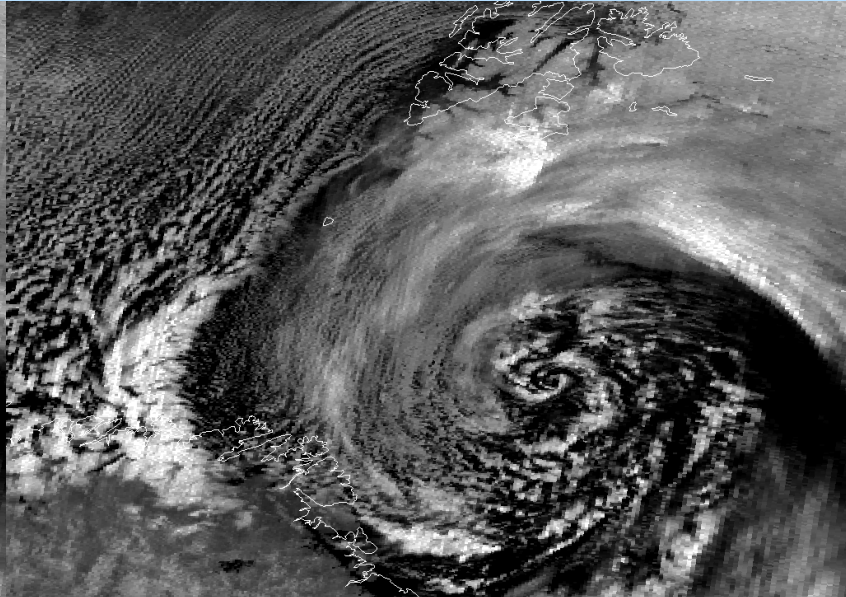
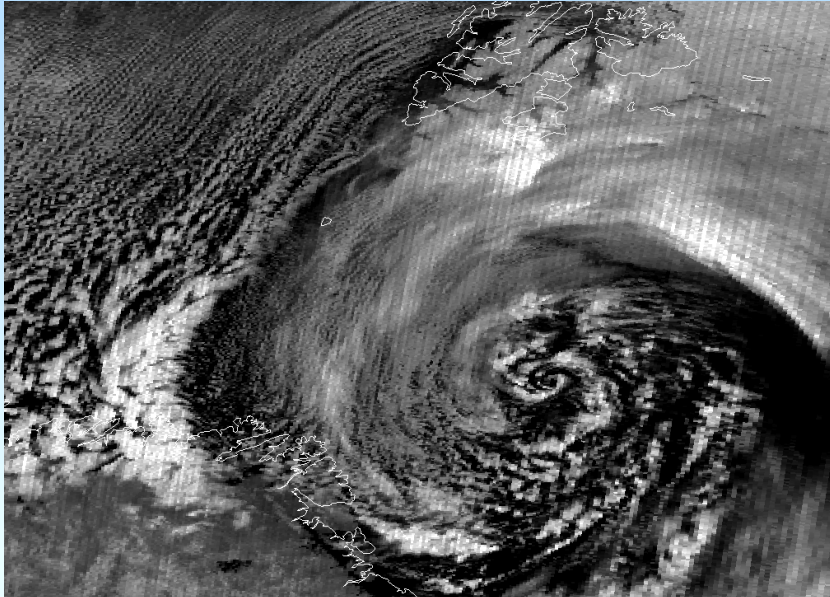


Same set of displacements after the 6-iteration navigation correction process which includes the ortho-correction. The displacement residuals are well below the GAC pixel size of 3x5 km.

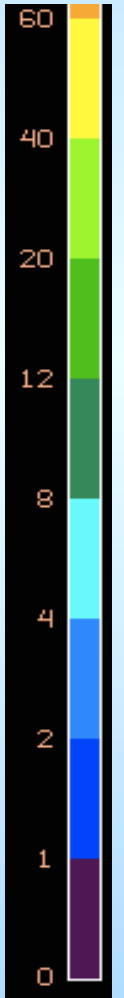
Filtering 3.7- μm Channel: NOAA-9 Night

Before

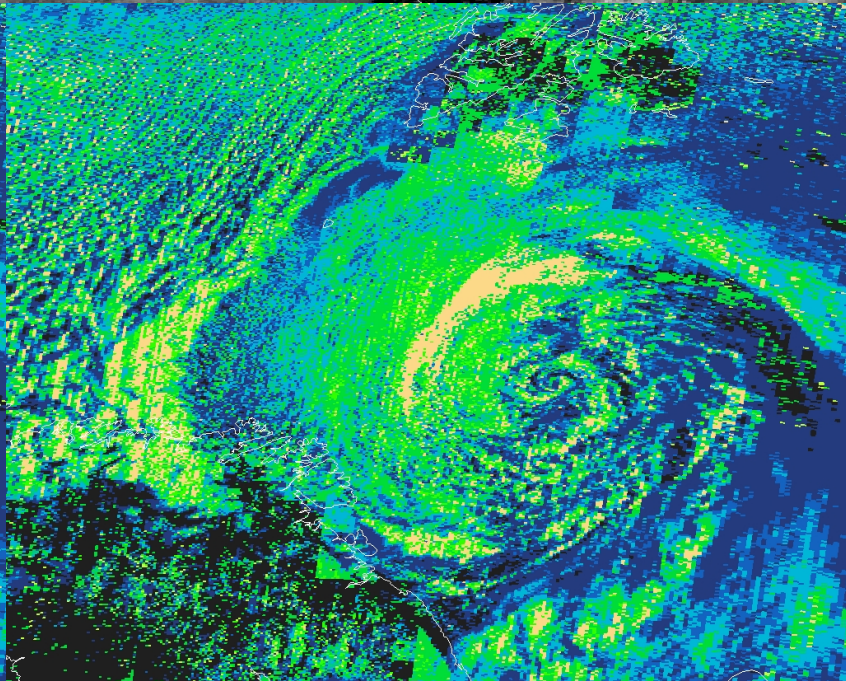
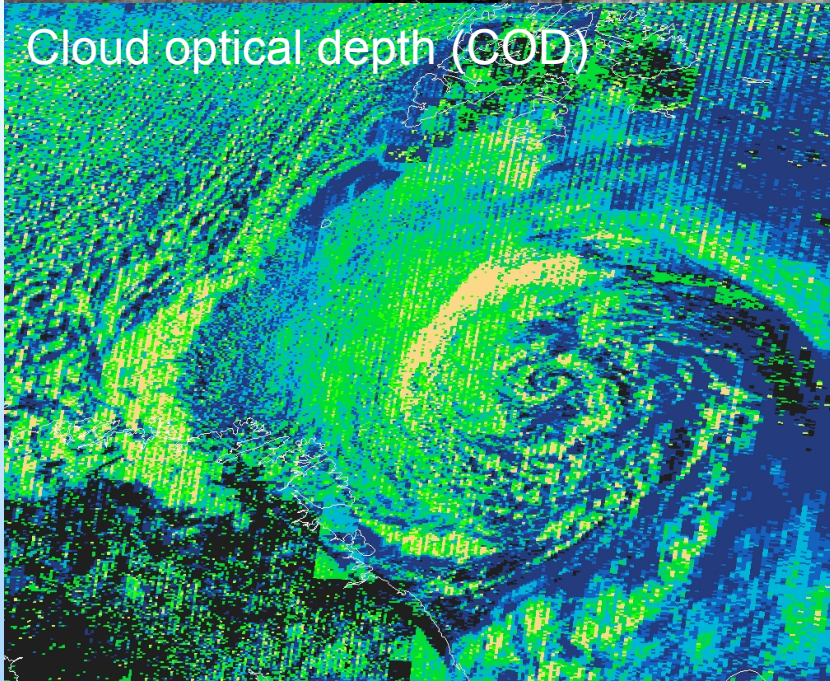
After



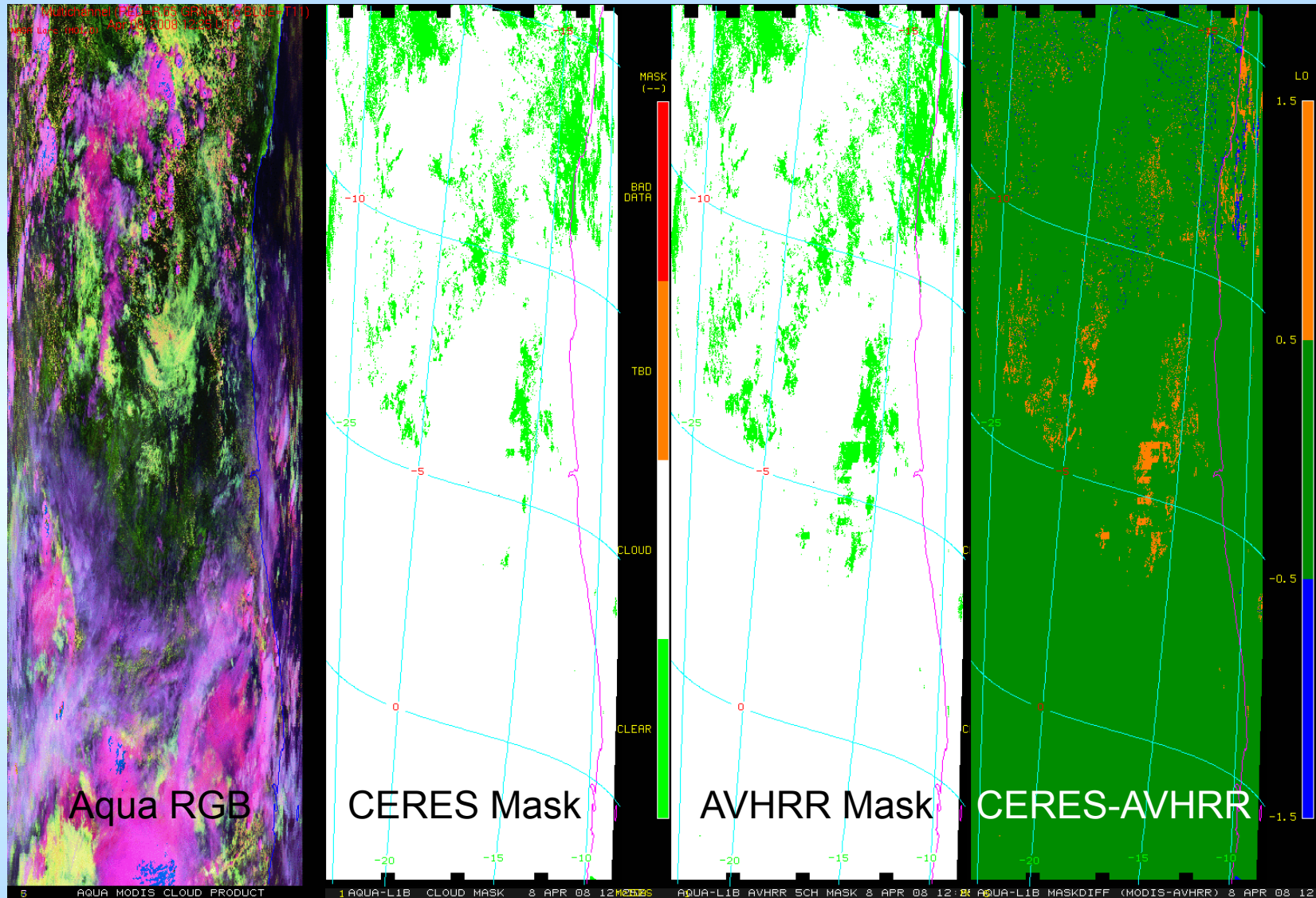
COD



Cloud optical depth (COD)

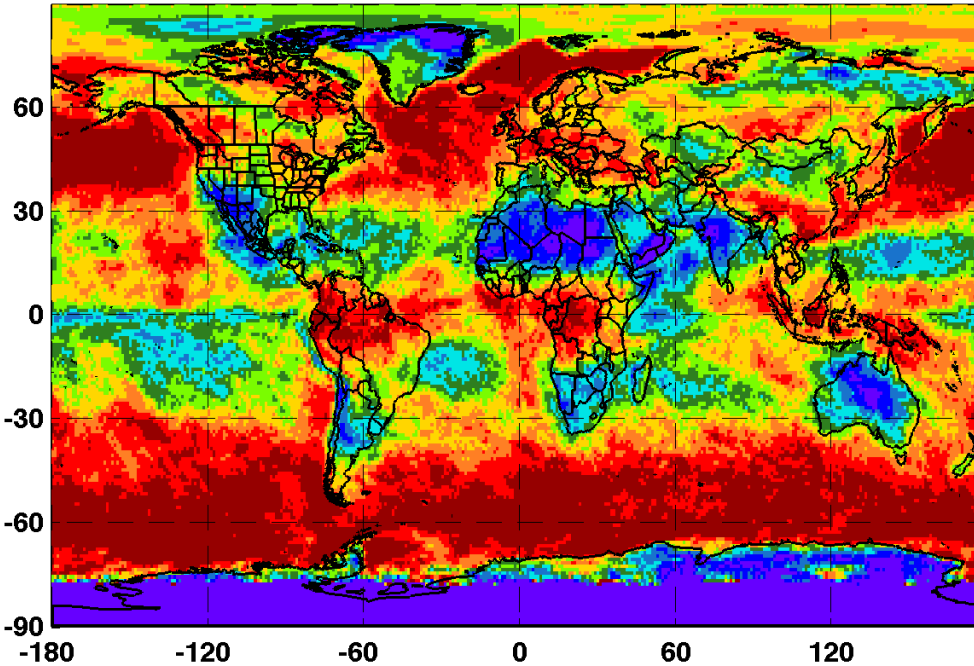


AVHRR vs CERES Cloud-Mask Results

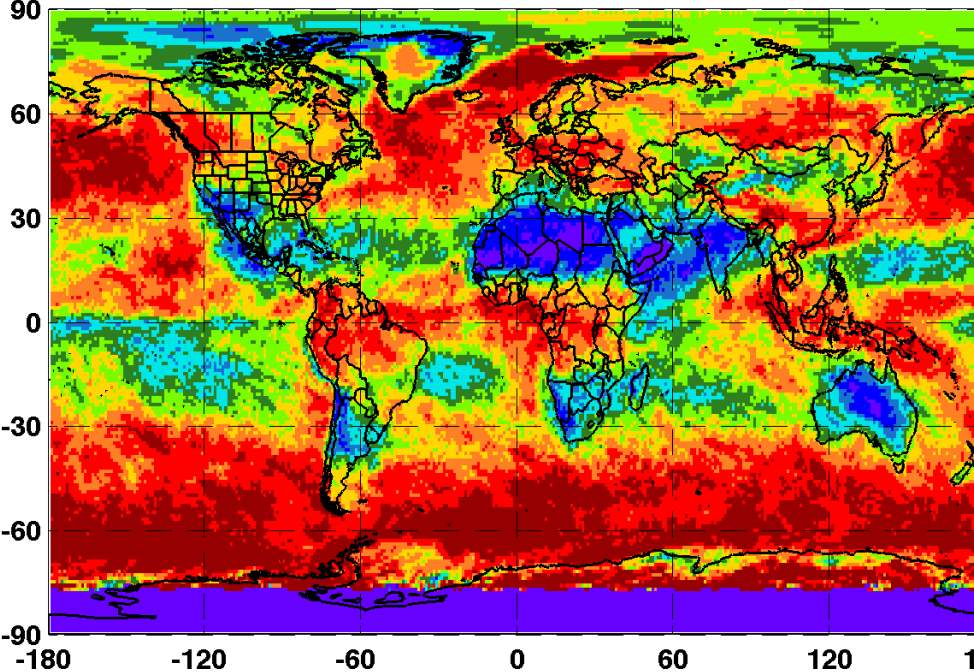


- AVHRR mask still needs tweaking, more in polar regions

NASA LaRC NOAA-18 AVHRR April 2008 Mean Cloud Fraction: Day



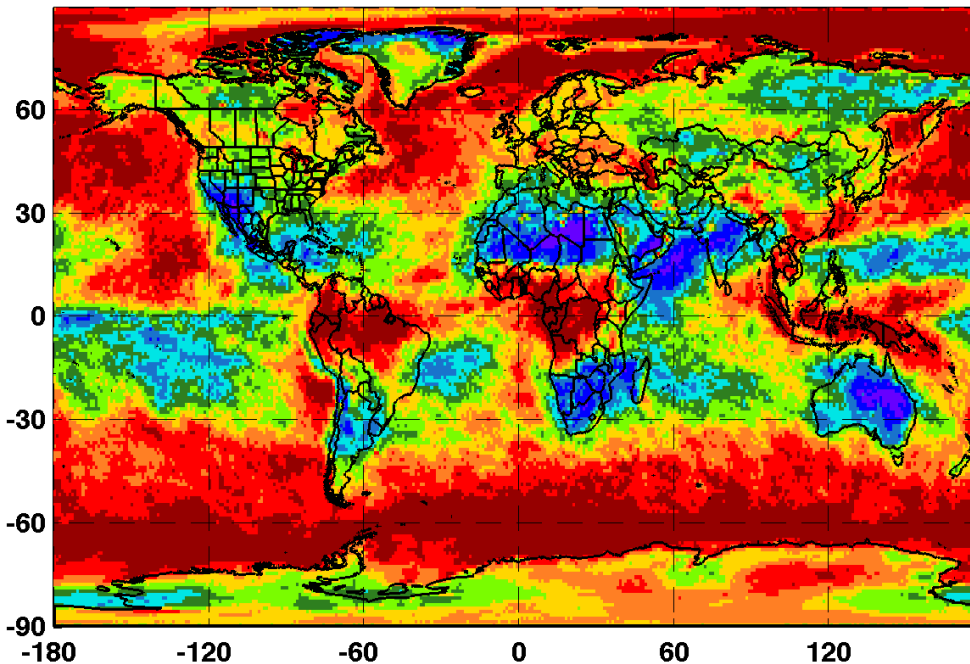
CERES Edition 4 April 2008 Mean Cloud Fraction, Daytime



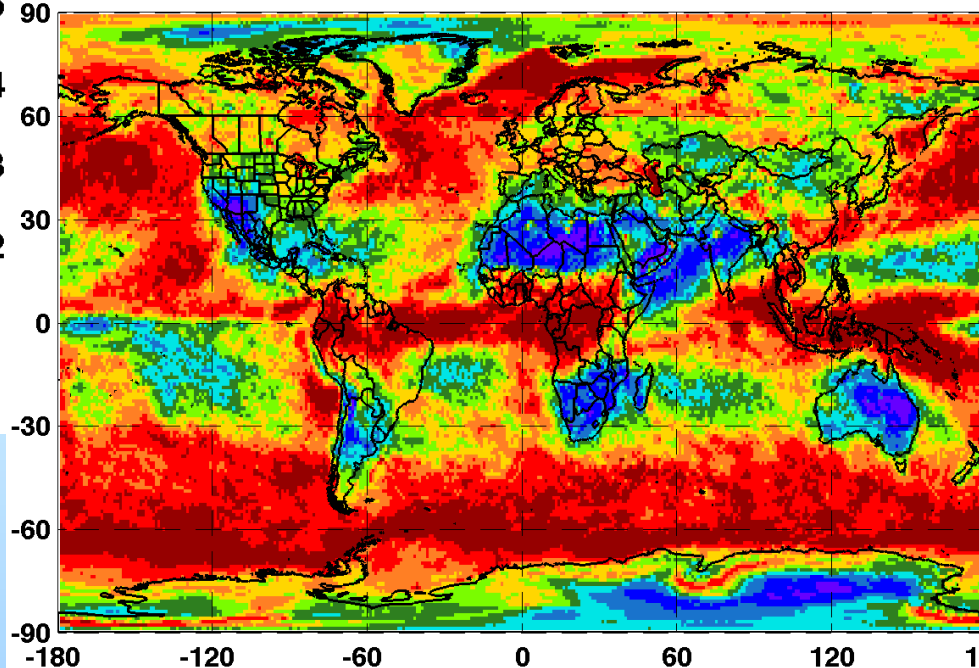
Cloud Fraction N18 vs Aqua, April 2008 Daytime

- patterns & magnitudes very close except polar regions
- CERES < AVHRR over mid-latitude storm tracks
 - 4-km resolution may reduce hole detection
- CERES > AVHRR over trade Cu & stratCu
 - extra hour (1330-1430 LT) could reduce those cloud types a few percent

NASA LaRC NOAA-18 AVHRR April 2008 Mean Cloud Fraction: Night



CERES Edition 4 April 2008 Mean Cloud Fraction, Nighttime

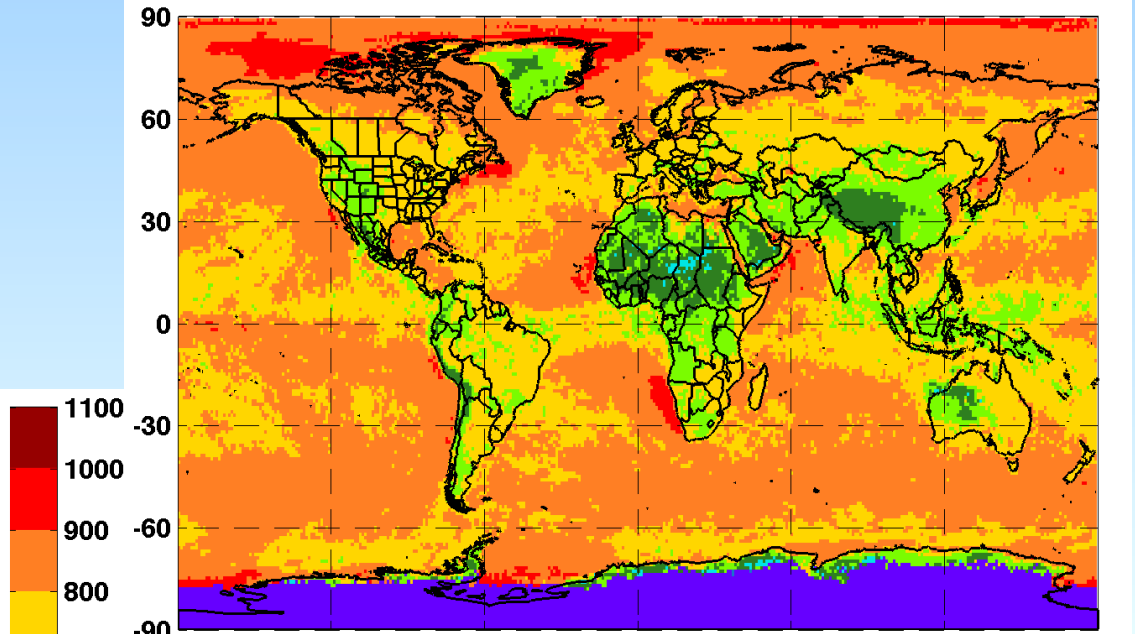


Cloud Fraction

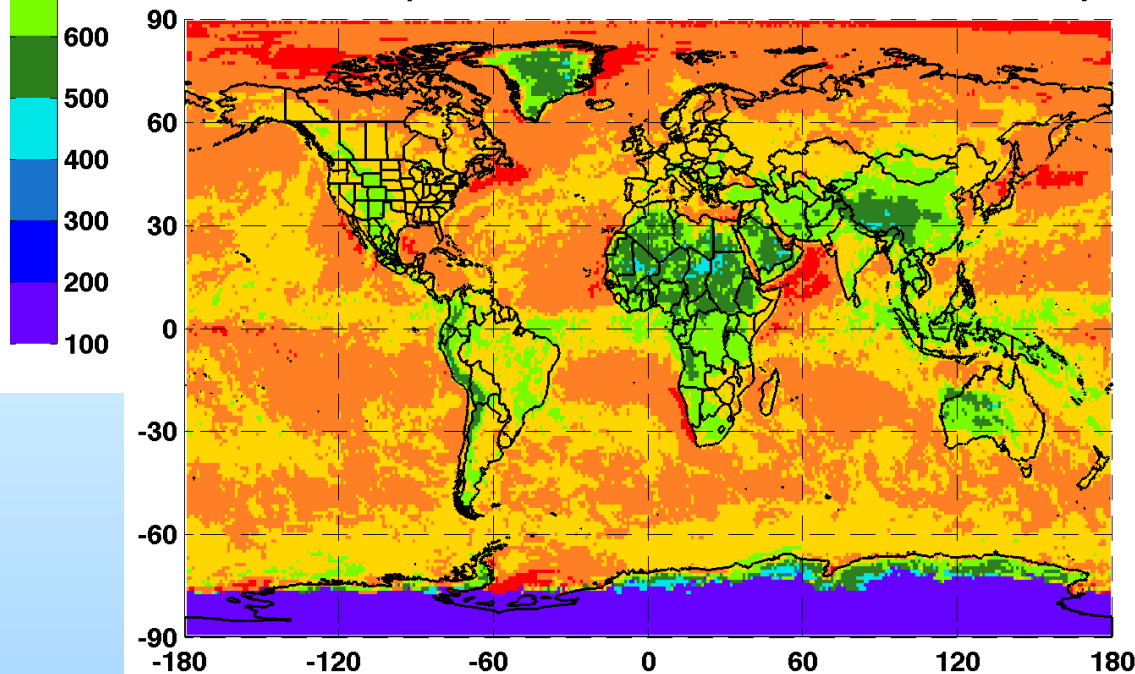
N18 vs Aqua, April 2008 Night

- patterns & magnitudes very close except polar regions
 - poles need work
- CERES < AVHRR over south mid-latitude storm tracks
 - 4-km resolution may reduce hole detection
- CERES > AVHRR over trade Cu & stratCu
 - resolution?
- CERES > AVHRR over tropical convective areas: thin cirrus
 - lack of CO₂?
 - sensitivity of T11-T12?

A LaRC NOAA-18 AVHRR April 2008 Mean Water Cloud Effective Pressure: D



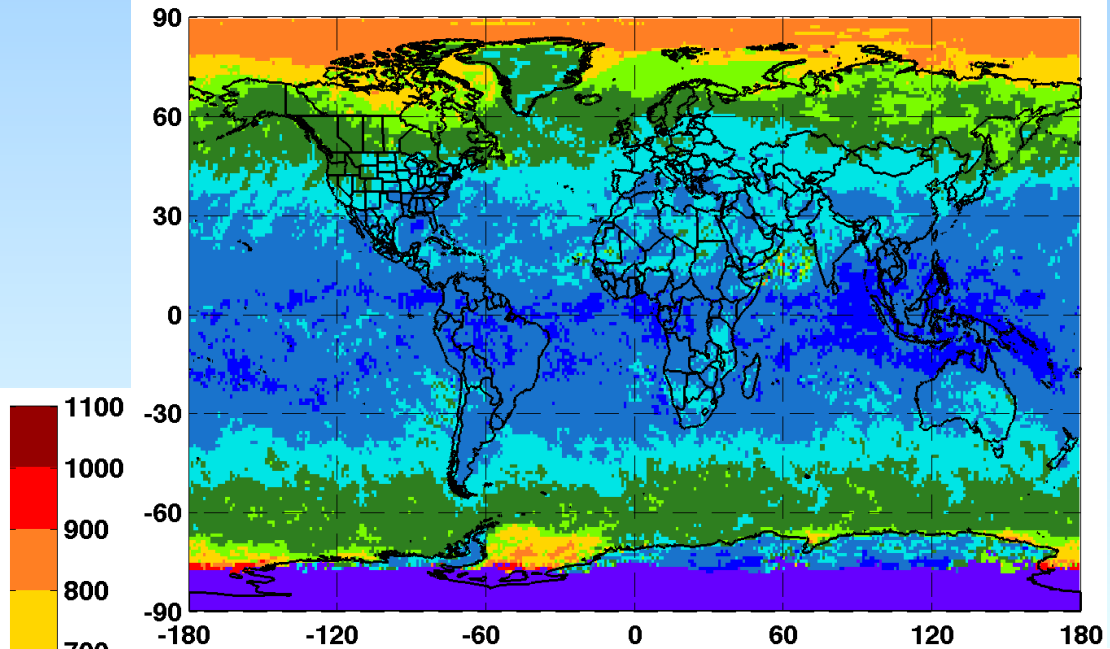
CERES Edition 4 April 2008 Mean Water Cloud Effective Pressure: Daytime



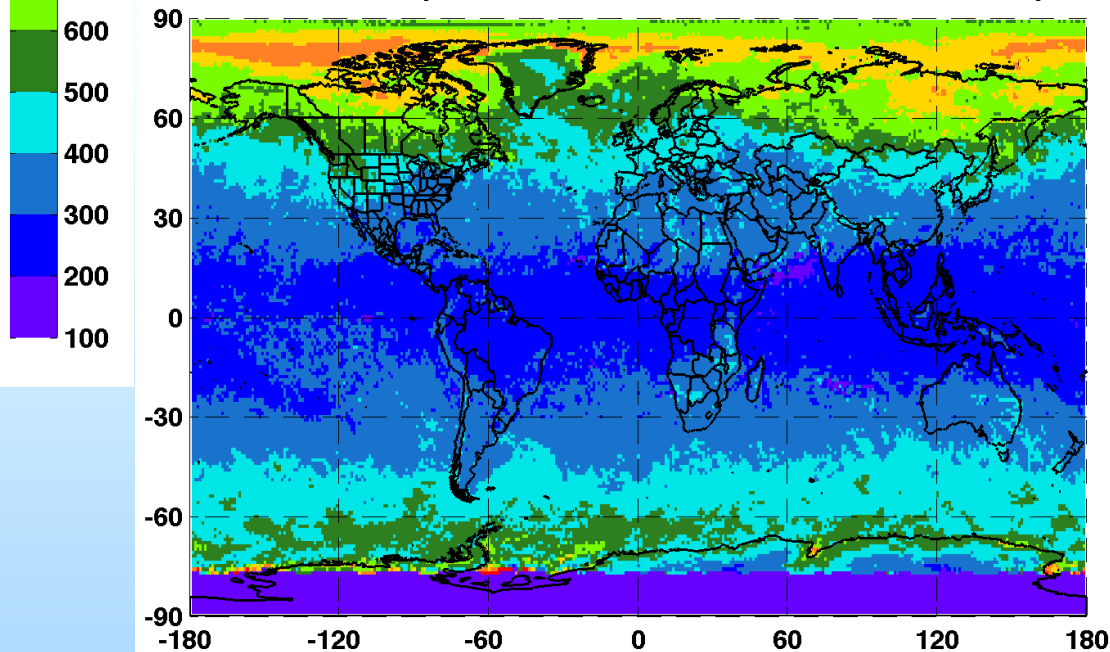
Cloud Effective Pressure N18 vs Aqua, April 2008 Water Cloud, Daytime

- patterns and magnitudes very close
- $p(\text{CERES}) < p(\text{AVHRR})$ over some higher water clouds & vice versa over some near-coast ocean areas
 - constant lapse rate used for AVHRR
 - region-dependent lapse rate used for CERES
 - slightly different sampling

SA LaRC NOAA-18 AVHRR April 2008 Mean Ice Cloud Effective Pressure: Da



CERES Edition 4 April 2008 Mean Ice Cloud Effective Pressure: Daytime



Cloud Effective Pressure N18 vs Aqua, April 2008 Ice Cloud, Daytime

- similar patterns & magnitudes
- $p(\text{AVHRR}) < p(\text{CERES})$ over
tropics & polar regions
 - MODIS CO₂ channel has big
effect on thin ice cloud height
 - *may need IR*

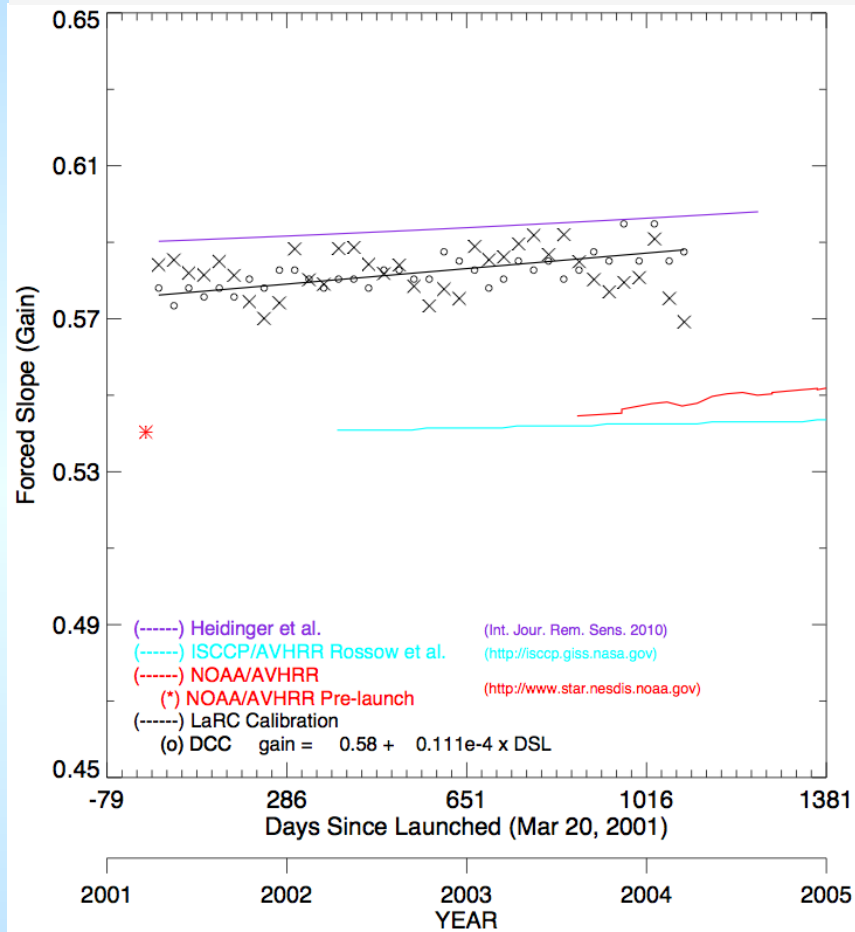
only method

Calibration Validation Strategy

- Validate against direct ray-matching with MODIS for 2000+ period
- Examine trends in cloud optical depths
- Compare with other sources
- Inter-method consistency checks

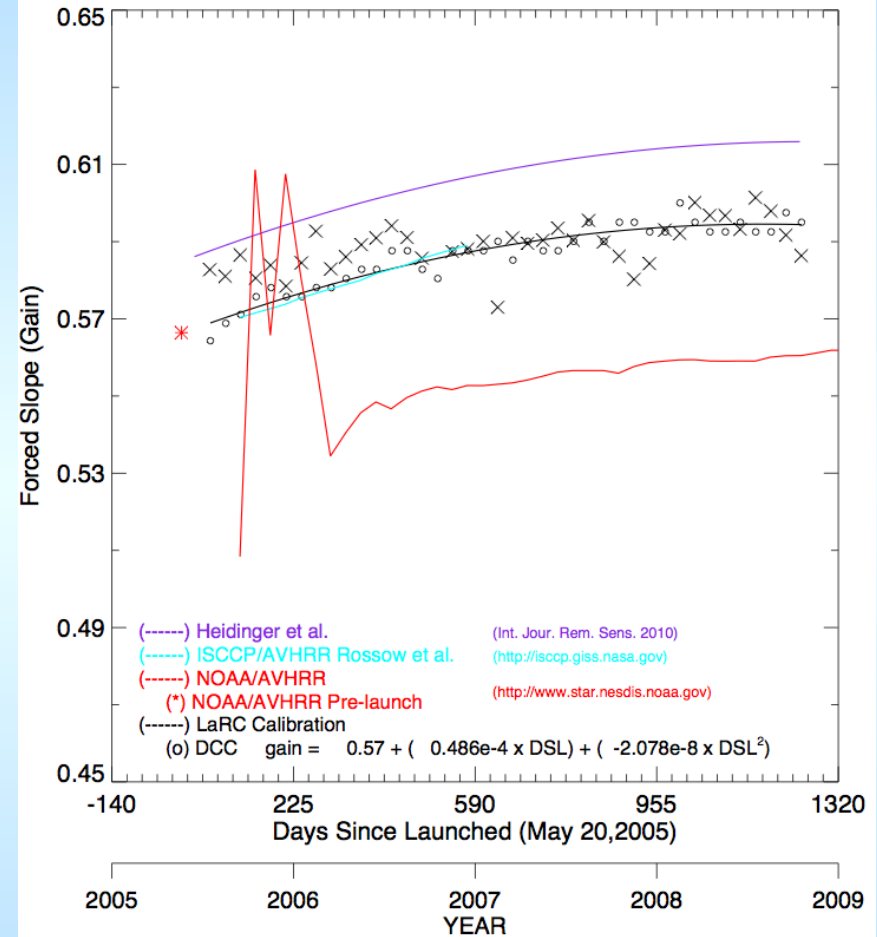
Preliminary N16 & N18 calibration

N16 vs G8/G9/GMS5/Met5/Met7



N18 vs G10/G11/G12/MET5/MET7/MET8/MET9

AVHRR Inter-calibration (0.65um)

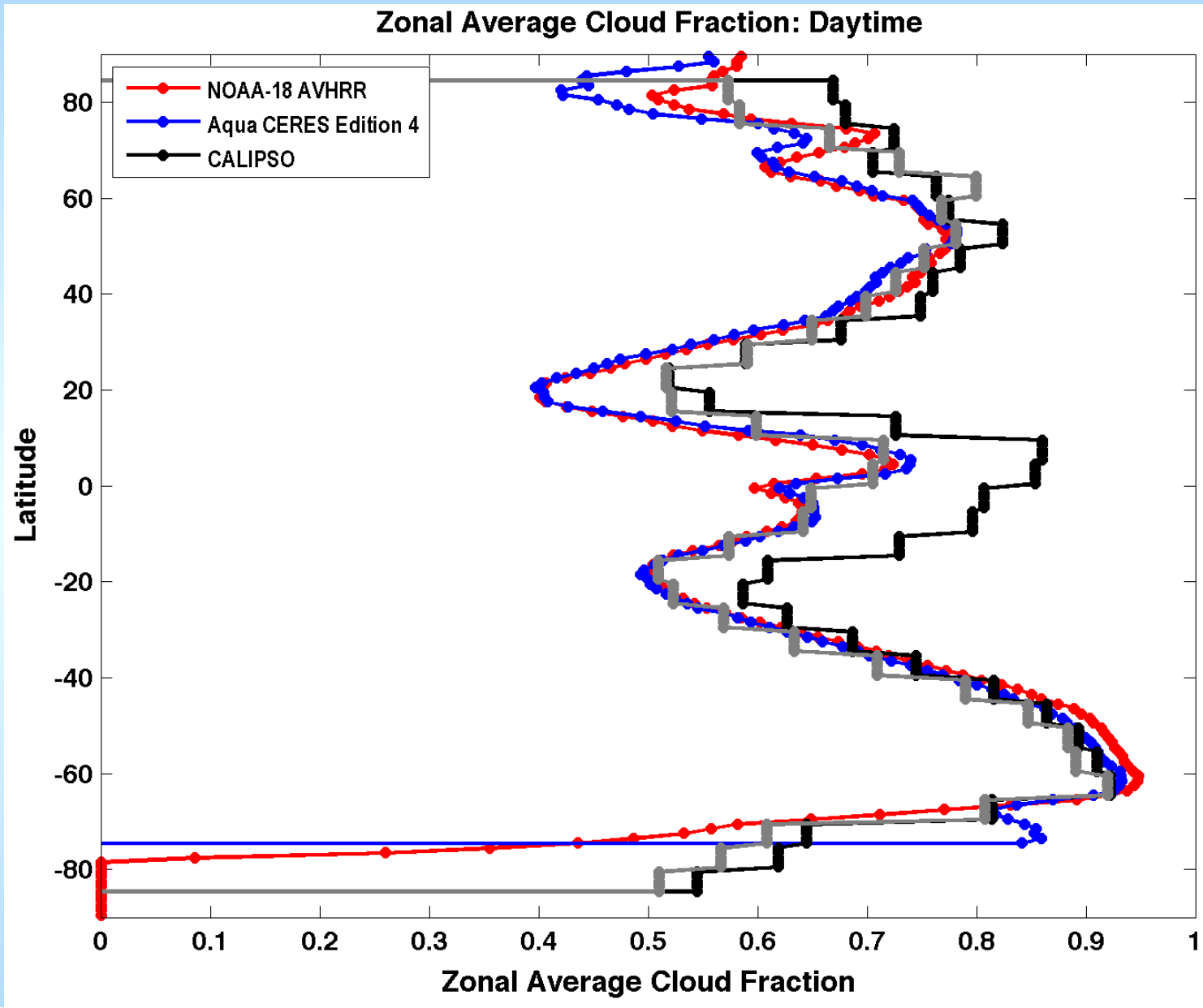


- LaRC N16 ~7% > NOAA gain; N18 ~6% > NOAA gain
- LaRC N16 ~1.8% < Heidinger; N18 ~3.5% < Heidinger
- Similar temporal trends as Heidinger

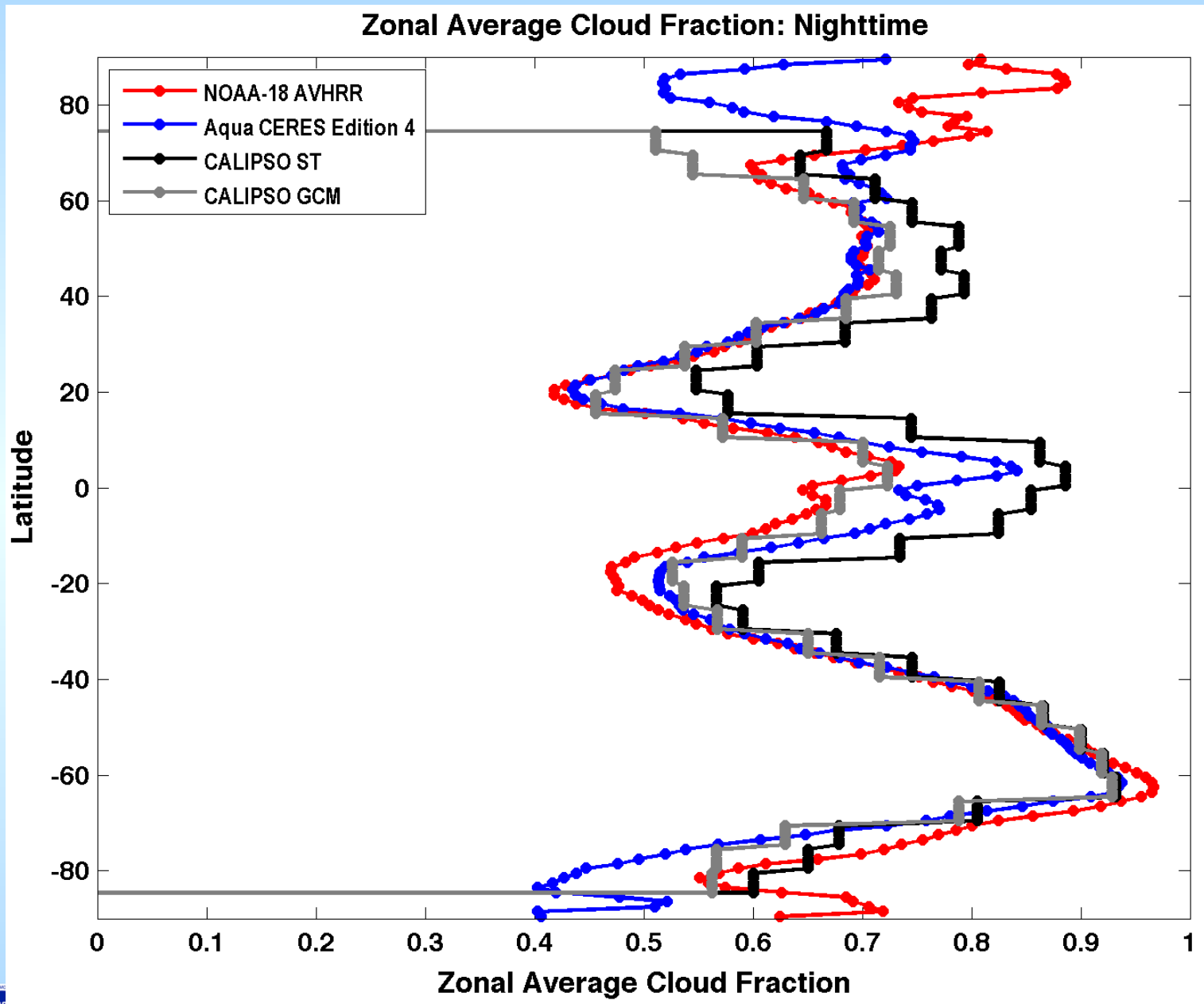
Cloud Validation Strategy

- Compare NOAA 14-19 results w/ CERES & GOES
 - Use closest match (e.g., Aqua w/NOAA-18)
 - Use GOES & Meteosat for off hours
- Compare NOAA-14 -19 w/ARM & CALIPSO data
 - Cloud amounts, heights, & some cloud properties
- Compare long term trends with other climatologies
 - ISCCP, PATMOS-X, surface data
- Examine long-term trends for artifacts due to sensor change

Zonal Cloud Fraction Comparison, Day, April 2008



Zonal Cloud Fraction Comparison, Night, April 2008



Publications & Presentations

- Doelling, D. R., C. Lukashin, P. Minnis, B. Scarino, and D. Morstad, 2011: Spectral reflectance corrections for satellite intercalibrations using SCIAMACHY data. *Geosci. Remote Sens. Lett.*, doi: 10.1109/LGRS.2011.2161751, in press.
- Wu, X., et al., 2011: Assessment of MetOp-A Advanced Very High Resolution Radiometer (AVHRR) Short Wave Infrared channel measurements using Infrared Atmospheric Sounding Interferometer (IASI) observations and line-by-line radiative transfer model simulations. *Remote Sens. Lett.*, submitted.
- Bedka, K., J. Brunner, R. Dworak, W. Feltz, and P. Minnis, 2010: Objective overshooting convective cloud top detection: climatology, product validation, and their relationship with severe weather and aviation hazards. *2010 EUMETSAT Satellite Conference*, Cordoba, Spain, September 20-24.
- Minnis, P. W. L. Smith, Jr., S. Sun-Mack, Y. Chen, D. A. Spangenberg, R. Palikonda, and R. F. Arduini, 2010: Retrieving cloud properties over snow and ice surfaces. *3rd Intl. Symp. Recent Advances in Quantitative Remote Sens.*, Valencia, Spain, September 27-October 1, S3.1.
- Scarino, B., D. R. Doelling, D. Morstad, A. Gopalan, P. Minnis, R. Bhatt, and C. Luckachin, 2010: Absolute calibration of AVHRR visible sensors using SCIAMACHY hyperspectral data and MODIS radiances. *2010 AGU Fall Mtg.*, San Francisco, CA, December 13-17, A13G-0303.
- Morstad, D., D. R. Doelling, B. Scarino, A. Gopalan, R. Bhatt, and P. Minnis, 2010: AVHRR calibration approach that uses ray-matching, invariant desert, and deep convective cloud techniques. *2010 AGU Fall Mtg.*, San Francisco, CA, December 13-17, A13G-0304.



Issues/Risks & Work-Off Plans

- Calibration SZA > 55°
 - Use interpolation/extrapolation and/or push SZA limits
 - Use GEO cal directly
- Polar mask
 - Continue tuning using MODIS as AVHRR Resolution differences
 - Test effect by degrading MODIS, examine thresholds
- Cloud height
 - Implement regionally dependent lapse rates
 - Use IR only retrievals for thin cirrus
- Polar cloud retrievals
 - Continue refining 0.65/0.86- μm methods vs 1.24/2.1 μm methods

Schedule

- Year 1**
- Completed semi-automated integration software for DCCT & NSRT calibrations
 - Completed preliminary AVHRR calibrations (N9, 11, 14, 16, 18)
 - Evaluated MODIS data to establish references and uncertainties
 - Perform desert site calibrations
 - Calibrated GEOsat calibrations (1985-present)
 - Set up global automated cloud analysis system to apply to AVHRR
 - Developed automated navigation & filtering methods
 - Analyze initial AVHRR data (N18)
 - Computed SRF ratios from SCHIAMCHY data
- Year 2**
- Refine polar retrieval method to incorporate improved snow albedo and SIST
 - Perform final calibrations AVHRR (N9-N19)
 - Set up website to provide calibration and cloud results
 - Coordinate with NCDC to archive results
 - Perform cloud retrievals on AVHRR data (N9, N11, N14- N19)
 - Compare desert site calibrations to NSRT-DCCT results
 - Document GEOsat and AVHRR calibrations (1991-2010)
- Year 3**
- Update MODIS calibrations
 - Complete record of AVHRR and GEOsat calibrations to 1978
 - Complete cloud analyses for AVHRR through 1978 (N5 – N10)
 - Complete error analyses & validation
 - Provide final reports on TCDR and FCDRs
 - Document results in journal articles

Transition Plan

- DOCUMENTATION

- Climate Algorithm Theoretical Basis Document (C-ATBD)
 - Delivery early 2013
- Data Flow Chart and Maturity Matrix
 - Next page

- DATA SET(S)

- Product output in NetCDF-4
- Units, missing value, valid range, coordinates, scale factor, long name specified as attributes in metadata
- 1 orbit of Level 2 products=13000x409 pixels
~450,000 AVHRR orbits (1978-2010) * 100 Mb/orbit
45 TB of GAC 4 km pixel level retrieval output

- SOURCE CODE

- Old NASA ATBD, algorithm mostly described in Minnis et al. (*TGRS*, 2008, 2011)
- Code is currently under development and evolving so documentation will follow
- Mixture of C and Fortran with shell script driver
- README (none)

CDR Maturity Matrix

Climate Data Record (CDR) Maturity Matrix

Maturity	Software Readiness	Metadata	Documentation	Product Validation	Public Access	Utility
1	Conceptual development	Little or none	Draft Climate Algorithm Theoretical Basis Document (C-ATBD); paper on algorithm submitted	Little or None	Restricted to a select few	Little or none
2	Significant code changes expected	Research grade	C-ATBD Version 1+ ; paper on algorithm reviewed	Minimal	Limited data availability to develop familiarity	Limited or ongoing
3	Moderate code changes expected	Research grade; Meets int'l standards: ISO or FGDC for collection; netCDF for file	Public C-ATBD; Peer-reviewed publication on algorithm	Uncertainty estimated for select locations/times	Data and source code archived and available; caveats required for use.	Assessments have demonstrated positive value.
4	Some code changes expected	Exists at file and collection level. Stable. Allows provenance tracking and reproducibility of dataset. Meets international standards for dataset	Public C-ATBD; Draft Operational Algorithm Description (OAD); Peer-reviewed publication on algorithm; paper on product submitted	Uncertainty estimated over widely distributed times/location by multiple investigators; Differences understood.	Data and source code archived and publicly available; uncertainty estimates provided; Known issues public	May be used in applications; assessments demonstrating positive value.
5	Minimal code changes expected; Stable, portable and reproducible	Complete at file and collection level. Stable. Allows provenance tracking and reproducibility of dataset. Meets international standards for dataset	Public C-ATBD, Review version of OAD, Peer-reviewed publications on algorithm and product	Consistent uncertainties estimated over most environmental conditions by multiple investigators	Record is archived and publicly available with associated uncertainty estimate; Known issues public. Periodically updated	May be used in applications by other investigators; assessments demonstrating positive value
6	No code changes expected; Stable and reproducible; portable and operationally efficient	Updated and complete at file and collection level. Stable. Allows provenance tracking and reproducibility of dataset. Meets current international standards for dataset	Public C-ATBD and OAD; Multiple peer-reviewed publications on algorithm and product	Observation strategy designed to reveal systematic errors through independent cross-checks, open inspection, and continuous interrogation; quantified errors	Record is publicly available from Long-Term archive; Regularly updated	Used in published applications; may be used by industry; assessments demonstrating positive value

1 & 2	Research
3 & 4	IOC
5 & 6	FOC

Benefit to the Science Community

- **Climate modeling & monitoring community**
 - GEWEX cloud observation intercomparison (Stubenrauch et al., 2011?)
 - Cloud frac/height comparisons w/GCMs (Zhang et al., *JGR*, 2005)
 - Cloud IWP comparisons w/GCMs (Waliser et al., *JGR*, 2009)
- **Datasets from our study will provide calibrations & uncertainty estimates for users of AVHRR radiances and cloud data**
 - Requests for calibration information are common
- **Facilitates development of other CDRs requiring cloud-free scenes or those requiring cloud information**
 - E.g., regional surface temperature trends, UTH studies (Luo), ERB (Kato)
- **International scientists & grad students (no advertising)**
 - Requests for old cloud data from Chile, Israel, Argentina, Brazil, etc.
 - 3-5 requests per year from grad students
- **Earth albedo**

Earthshine variability requires global distributions of clouds (E. Palle)



Benefit to Society (anecdotal)

- Indirect climate benefits already mentioned
- Energy sector
 - At least, one company currently using our historical GOES pixel level cloud data for solar collector siting, longer record -> better stats
- Transportation (potential)
 - Aviation, improved statistics on aircraft icing conditions can be developed from long-term cloud data (NTSB has requested archived cloud properties in past)
 - Aviation and ground transport, statistics on fog for highway & airport planning, land use, etc.
- Communications
 - A cell phone company used our GOES pixel data for studying transmission of signals, longer AVHRR record -> better stats
- Public
 - Appalachian Mtn Club requested historical cloud height data to study effect of cloud ceiling on Mt Washington tree line
 - NASA S'COOL program: direct use of sat cloud data by K-12

Resources

- Number of personnel employed for project
 - *2.8 FTE*
- Key equipment or observatories used
 - NASA Langley Research Center, AMI computer
 - NASA Ames Columbia Supercomputer
- Key collaborating projects or personnel
 - NASA CERES (Minnis, Doelling)
 - NOAA GSICS activity, Co-I: D. Doelling, X. Wu, X. Xiong)
 - NASA CALIPSO/CloudSat
- NOAA points-of-contact or collaborators, as applicable
 - K. Knapp, NCDC
 - X. Wu, NESDIS
- Target NOAA Data Center: **NCDC**
- How can the CDR Program Office help you?
 - Clear guidelines